

SUBSTANCE ABUSE AND MENTAL HEALTH
SERVICES ADMINISTRATION

NATIONAL ADVISORY COUNCIL

38th Meeting

Wednesday,
December 7, 2005

Sugarloaf Mountain and Seneca Rooms
Substance Abuse and Mental Health Services Administration
1 Choke Cherry Road
Rockville, Maryland

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1 P R O C E E D I N G S (9:00 a.m.)

2 MS. KADE: Good morning, everyone. We're about
3 to begin. Anita Everett is going to talk with us about the
4 Medicare Modernization Act.

5 MS. EVERETT: Thank you. Medicare recipients,
6 because of their age, because they're past 65, about 14
7 percent of them are disabled. They are on Medicare because
8 they're disabled. So by far and away, the majority of the
9 population, the beneficiaries that are eligible for this
10 benefit, are geriatric individuals.

11 Of those 42 million, about 7 million are what
12 we call dually eligible individuals, or they are people
13 that have both Medicaid and Medicare. That's a particular
14 concern to us at SAMHSA, because maybe those individuals
15 are dually eligible in association with a mental illness
16 and/or substance abuse, but primarily mental illness. So
17 that's a high impact population.

18 The other thing that's particularly important
19 about that group of people, or the duals as they're called,
20 is that because of the way the law is set up, the
21 interaction of federal laws, those individuals no longer
22 can receive their medications through Medicaid, so they
23 switch over to Medicare as of January 1st, 2006.

24 So whereas individuals who have been used to
25 getting their medicines through Medicaid with all the

1 systems and the access that goes on with that, this will
2 also cover the Medicare benefit. So that is a group that
3 we have been following and working with CMS quite closely
4 on.

5 The other group that's particularly likely to
6 benefit from this is the low income individuals. There are
7 actually three tiers of beneficiaries by financial assets.

8 There is the Medicare individuals which will meet their
9 state requirements for Medicaid eligibility, and then there
10 is the in between group that are called low income groups.

11 We right now are actively trying to get people to make
12 sure they sign up for this benefit.

13 Those are individuals that are 150 percent of
14 the federal poverty level and less, and those individuals,
15 there are no premiums, very limited co-pays, and their
16 deductible is very limited. It depends a little bit on
17 their assets. So that's a population that's going to
18 benefit tremendously from this benefit.

19 Other than that, the other sorts of things in
20 particular in the whole benefit that SAMHSA has been
21 working very closely with CMS on is the special provisions
22 that are made for people with mental illness with regards
23 to this. Because of concern that certain populations would
24 be highly impacted by the benefit itself, and remember the
25 whole benefit is sort of couched on the idea of encouraging

1 competition between the companies and making arrangements
2 with individual prescription drug plans. So competition is
3 the cornerstone of this whole thing.

4 There are certain special categories that were
5 created by CMS with our encouragement that provide extra
6 access to certain sets of medications. There are six
7 categories of special interest, three of which concern
8 medications which individuals with mental illness often
9 need. Those would be antidepressants, antipsychotics, and
10 anticonvulsants, which are often used for the treatment of
11 bipolar disorder and mood disorders.

12 The other three are immunosuppressants. In
13 other words, a common use for that would be transplant
14 individuals, chemotherapy agents, and HIV/AIDS drugs. So
15 those are the six categories.

16 What is special about those categories of
17 medicines is that rather than the norm, which is that two
18 medicines in each category have to be covered by each of
19 these drug plans, all of the medicines in those categories
20 have to be covered by each of the plans everywhere.

21 As the plans which have come out which they
22 have in mid October, we found that there is pretty good
23 adherence to that. In other words, CMS has provided
24 oversight to them, and they follow through with that. So
25 there are about six, for instance, of what we call atypical

1 antipsychotic agents. All of those are available on all of
2 the prescription drug plans for individuals to access.

3 So that's the broad benefit. Certainly I can
4 answer any questions if anybody has any. We've been
5 working very closely with CMS on that. What I thought I
6 would do is just run down some of the things that SAMHSA
7 particularly has been doing. That is what is highlighted
8 for you on this single page handout. Has that been
9 distributed? You have that.

10 These are some of the activities that SAMHSA
11 has done. This is a high priority of course for the
12 administration, and also for Mr. Curie. So these are some
13 of the activities, just sort of a listing of things that we
14 have been engaged with SAMHSA on behalf of things that CMS
15 is primarily responsible for administering.

16 We focus mostly on education and outreach in
17 the areas of mental health and substance abuse, so that
18 portions of this entire benefit are more likely to impact
19 individuals with mental health and substance abuse issues.

20 Administrator Curie, as well as other agency
21 leads, have been incorporating specific information about
22 the prescription drug benefit, sort of their regular
23 numerous national public appearances that they make
24 throughout their time here, primarily encouraging people to
25 sign up for the benefit and representing the basic

1 information on what the benefit represents.

2 We have created an email list to the states
3 through their state mental health directors and substance
4 abuse directors to send that to the person within that
5 state who is the lead on this particular benefit.
6 Sometimes it is in the Mental Health office, sometimes it
7 is in other offices within state government, the way states
8 are organized. So we have an email list of all the state
9 leads on this project.

10 When you send them information, we try to sort
11 of cull information and send in information that's directly
12 relevant to the populations that have mental illness and
13 substance abuse.

14 We created a page on our SAMHSA website which
15 is sort of a nexus of links to other pages. We have
16 created an education of outreach partnerships with several
17 mental health advocacy groups throughout interagency
18 funding between us and CMS. The groups that were the
19 recipients of this education and outreach oriented grant
20 include the National Council of Community Behavioral
21 Health, or NCCBH, NASMHPD, the National Association of
22 State Mental Health Program Directors, the National Mental
23 Health Association, and the National Alliance for the
24 Mentally Ill.

25 We have participated in regular meetings. The

1 substance abuse advocacy community as a whole is not as
2 centrally oriented towards this benefit, primarily because
3 the medications are used in a different way from the
4 treatment of substance abuse disorders. They are much more
5 sort of ancillary to the treatment, rather than the center
6 of the treatment.

7 However, we have engaged in several substance
8 abuse groups so that they are aware of this and provide
9 information to their membership on what this benefit is all
10 about, and what opportunities it could represent for
11 individuals that they are working with.

12 We have created opportunities for CMS staff to
13 come and present mental health and substance abuse and
14 provider group meetings for large groups, national meetings
15 that CMS otherwise would probably have had less ability to
16 access. We have dedicated an entire edition of the SAMHSA
17 News to that, and that also should be a handout here. That
18 is dedicated to the Medicare benefit.

19 We have promoted the prescription drug benefit
20 through distribution of CMS brochures at SAMHSA booth
21 exhibits. The other handout you have with this packet here
22 is information that came directly from CMS. Actually, when
23 I was given the opportunity to do this, a CMS staff person
24 from downtown hand delivered this to me to provide to you,
25 so hopefully you'll have a chance to look through that a

1 little bit.

2 This is a benefit that's sent out, it's a
3 resource kit that is sent out for what CMS is calling
4 Partners, for people who are in positions to help other
5 recipients or beneficiaries sign up for the benefit
6 directly.

7 We are providing training for SAMHSA staff. We
8 have 520 employees, and we think it's important for our
9 employees to know how to access this benefit on behalf of
10 their parents, other family members, or other neighbors
11 that may be enrolled to influence positively. That is
12 actually happening next week to myself and the CMS staff
13 coming here for the presentation regarding that.

14 Other more minor things like participating in
15 education experiences, there is a grand rounds online
16 series that we participated in to provide technical
17 information to professionals on that.

18 That's pretty much the summary of what SAMHSA
19 has been doing. I intended to give you a little bit of a
20 snapshot of the broad benefit. I'm happy to answer
21 questions if anyone has any particular questions about
22 either SAMHSA's role in this or the benefit itself.

23 MS. SULLIVAN: We could be here all day.

24 MS. EVERETT: Right.

25 MR. KIRK: The dual eligibles are of particular

1 concern to us in Connecticut. Can you tell what the spirit
2 is among CMS as to -- my understanding is that the plans,
3 for example, the one was with 17 vendors and then there
4 were 44 different variations of the plans, and that
5 formulary can change on a fairly regular basis.

6 We'll get our people set to the medications
7 that they're on. On average, they're on five. Two months
8 later, the formulary can change, and we're going through
9 the same thing again. We're concerned about people being
10 moved to medications, generics, after they've been on
11 medications for a long period of time, and decompensating
12 as a result and going back into the hospital. We're simply
13 trying to keep track of these kinds of changes.

14 What's the spirit of this? Does CMS understand
15 this?

16 MS. EVERETT: My primary contact over at CMS is
17 a Dr. Jeffrey Kellman, who is the chief medical officer of
18 all of CMS and is in Mark McClellan's office. So that's
19 the level that we've been interacting with.

20 I can tell you honestly through numerous
21 interactions with them, they have been very receptive to
22 our issues that relate to mental illness and special needs.
23 That is part of the reason that we were successful in
24 helping them identify those three methods in particular,
25 groups of medicines, all of which should be available for

1 our individuals.

2 So the antipsychotics, the antidepressants, and
3 the anticonvulsant medications should be available on all
4 of the formularies. So you're right, that is a concern,
5 but there are several things that are set up to safeguard
6 people having to change with regards to that.

7 I'm not sure if I answered your question.
8 People who have mental illness for those medicines should
9 not have to change to a generic. There is no particular
10 provision set up if the person is also on cardiac medicine
11 to have to change to a different lipid agent, for instance,
12 or things like that.

13 We were able to secure this really special
14 provision for those medications, because of the sensitive
15 nature of people who have been stable on particular
16 medicines, not having to change and go through that.

17 There are also several appeals processes that
18 are available to the consumer and their family members. A
19 family member can be involved in this on behalf of an
20 individual who is designated as an authorized
21 representative, which is also important here, and/or the
22 treating physician can appeal. If another medication that
23 a person might need is not on the formulary, other than
24 those three classes of medicine, say a side effect medicine
25 or different things like that, they can appeal to have it

1 put on the formulary.

2 You can also appeal the tier of medicine
3 category. These medicines, a strategy of the prescription
4 drug plans in keeping cost down, is to have the medicine on
5 a different co-pay tier. It is a very complicated benefit,
6 and that's probably the hardest thing about the whole
7 thing.

8 So the duals, otherwise, payment wise, there
9 are three ways you pay for this benefit. The duals will
10 not have to pay a premium, and they will not have to pay a
11 deductible, but they do have a co-pay per prescription that
12 can be between \$1 and \$3.

13 MR. KIRK: Is it a fact that the co-pay cannot
14 be, this is federally mandated, cannot be waived? Again,
15 going back to our situation, we have people on average on
16 five medications. Sometimes those prescriptions are for
17 relatively short periods of time, so during the course of a
18 month they might have to be renewed three times because of
19 the severity of the condition.

20 So that co-pay for these folks may seem like a
21 small piece of change, but it's not a small piece of
22 change.

23 MS. EVERETT: We're very aware of that. A \$3
24 co-pay, for instance, if the medicine is put on a higher
25 tier, can be a problem, particularly if you multiply that

1 by five, and you know the realities of living on disability
2 income. That's a very tricky issue also, because the
3 kickback laws involve that.

4 If there is not the capacity in the federal
5 regulation to waive the co-pay that has to be charged,
6 where that can be waived is at the point of sale at the
7 pharmacy. The pharmacy can decide not to bill for the co-
8 pay. There also can be a system set up where clinics, you
9 know, a person can have a charitable group help them with
10 the co-pay, but it can't be officially waived by the
11 federal government. Neither can a drugstore have an
12 official policy of waiving the co-pay, because that gets
13 into encouraging people to come to a particular drug store
14 and use particular medicines that could be associated with
15 a kickback.

16 We have really tried to work that out. A
17 number of the pharmacy companies have been interested in
18 setting up opportunities to help offset the co-pay, doing
19 that in a way that is not directly connected with one
20 particular company that might have undue influence on the
21 selection of one or the other of the antipsychotics.

22 It has been quite a challenge. So that is
23 actively being worked on, but for right now, the co-pay
24 can't be waived. Now, a state can also choose to work with
25 the co-pay through their Medicaid program, but that's very

1 variable.

2 MR. KIRK: Yes. In Connecticut, the
3 legislature passed a bill and they have \$5 million
4 available for the co-pays. This goes beyond mental health.
5 This is MR, across the board.

6 Our concern is whether legislators want to do
7 what to do what Connecticut did. In other words, people
8 understand that this is going to be an at-will cost that's
9 going to continue to along. My concern is that somewhere
10 along the line legislators will say, well, we just can't
11 afford this anymore. We either go into the state's
12 pharmacies, or we go ahead and try to supply medications in
13 a different way.

14 Let me ask one other question, though, and I'll
15 leave you alone. My understanding is that January 1 is the
16 go-live date to put in the plans. I know in Connecticut,
17 Congressman Larson is arguing and supposedly there are
18 other congresspersons of the same orientation that this is
19 just too complicated and the period for getting into this
20 should be extended two years.

21 Is there any spirit in your understanding to
22 phasing in implementation of this in a slower way than what
23 is being planned?

24 MS. EVERETT: There is absolutely no spirit to
25 changing anything that I have heard at all. To be honest,

1 it came up in the wake of Katrina, because that so
2 preoccupied a lot of the energy and efforts of folks at CMS
3 and us in working on sort of responding to that.

4 Federal questions were raised at that time
5 about delaying the onset. There is no thought at all that
6 they want to delay in any way the onset. The January 1st
7 deadline is a pretty drop dead deadline.

8 So what happens on January 1st, I think you had
9 asked that question. As of November 15th, so a month ago,
10 individuals can enroll in the program, but no benefits
11 start until January 1st. The big thing for us that happens
12 on January 1st is that that's the date beyond which
13 Medicaid cannot be used to acquire medicines.

14 MS. SULLIVAN: Medicaid?

15 MS. EVERETT: Medicaid. If you're dual
16 eligible and you have been previously receiving your
17 medicines through Medicaid, you can no longer do that by
18 federal law, because Medicaid always has to be a payer of
19 last resort.

20 Yes, you can sign up in advance so that
21 everything is all ready to go. November 15th, that's
22 correct.

23 MS. SULLIVAN: Yes. I'm sorry.

24 MS. EVERETT: Any other questions?

25 DR. GARY: I wanted to ask a question that is a

1 concern of mine. I hope it's not a real issue, but I have
2 to ask it anyway.

3 I guess about two months ago, the data were
4 shared, and a report was generated by the National
5 Institute of Mental Health about the efficacy of the
6 atypical antipsychotics when compared to the second
7 generation psychotics. One of the findings was that from a
8 therapeutic perspective, there was little difference.

9 Now, I'm not discussing some of the issues with
10 the study design, but I am wondering with that particular
11 finding if that would influence policy to the degree that
12 some patients who have done very well on the atypicals,
13 such as olanzipine and risperidone, geodone, et cetera,
14 would be put in the position where the state or federal
15 government would elect to have those drugs on formulary and
16 clinics and hospitals, and not be atypicals? How do you
17 see that impacting the populations across the United
18 States? Do you have any anticipatory kind of policy in
19 place to deal with that? Or is it a problem?

20 I'm thinking that if olanzipine cost \$17 a
21 pill, and you can get Haldol and some of the other drugs
22 for \$2 or \$3, then that is a tremendous cost savings to the
23 enterprise. With this data from NIMH, I'm just wondering
24 how it's going to influence the policy of some people who
25 really, really watch these data and use it to their

1 advantage.

2 Now, the problem is people respond to drugs on
3 an individual basis. Some do well on one drug, and others
4 do well on the other. But the data, we're talking about
5 evidence-based practice. If the data says that there is
6 not much difference and you can save billions of dollars,
7 then where does that leave us with patient care?

8 MS. EVERETT: That's an excellent question. I
9 do think that particular study, it's called the CATIE
10 study, opens up the door a little bit towards reconsidering
11 the older antipsychotics as legitimate treatment, feasible
12 legitimate treatment. as opposed to only contemporary
13 medications which do have far fewer side effects, and are
14 much better tolerated by most people might be acceptable to
15 use.

16 That came up almost immediately after the CATIE
17 study was released. The CATIE study was a complicated
18 study, and we won't cover that right now, although I'd be
19 happy to do that at some point if anyone is interested, our
20 perspective on it.

21 But what was published was only the first phase
22 of the CATIE study, which was interesting for us to keep in
23 mind. So it is very preliminary data. But the way it came
24 out was that the older medicines are just about as good as
25 the newer medicines, at least by patients making decisions

1 to stay on the medications.

2 It came up where several of the prescription
3 drugs plans called CMS and wanted to change that so that it
4 didn't have to cover all of the more contemporary
5 medicines. Because remember, we had the antipsychotic
6 medicines that are in that special category that all of
7 them had to be covered for all Medicare recipients or
8 beneficiaries.

9 So there was sort of an idea that maybe we
10 don't need to have that special requirement that CMS
11 created for those medicines. CMS was very steadfast in
12 defending that no, we're not changing that policy at all,
13 we're keeping it so that individuals have access to all of
14 the new generation or newer antipsychotic medications.

15 So for now, that has been a very firm opinion
16 of theirs. Over time, that particular provision was not
17 actually written in the act, the federal acts that enabled
18 Medicare. So over time, you're right, that could unravel.

19 I can tell you honestly right now that that is not the
20 intent at all in the Central Office at CMS. But it may be
21 that we need to maintain some vigilance on that in the
22 advocacy communities to assure that that benefit is
23 preserved for individuals over time.

24 We know we're good for the first several years
25 of the program the way it is set up. So that's important.

1 I can also tell you that a number of the groups that we
2 work with on a daily basis are very aggressively tracking
3 who is using what medication in these categories of
4 medicines that go along with mental health treatments, the
5 antipsychotics, antidepressants, and the mood stabilizers.

6 So making sure that people have access to those
7 is a prime concern of a lot of the groups that we're
8 working closely with. So you're right, that's not a
9 guaranteed long-term for the benefit, but for the immediate
10 outroll, the benefit is preserved that individuals will be
11 able to stay on that without hard core, first fail types of
12 procedures.

13 There is not a first fail allowed say, for
14 instance, to use your example, first fail Haldol or one of
15 the other medicines, to get to olanzapine. Drug companies
16 cannot do that at this point in time, absolutely not. If
17 it happens, that needs to be reported to CMS immediately,
18 or myself, if any one of you is in a position where you see
19 anything like that, because that's not supposed to happen.

20 DR. GARY: I just wonder if it would be wise to
21 have some kind of fairly aggressive position about it.
22 Just let me tell you why.

23 Because at one time, I spent most of my life in
24 the State of Florida. At one time, there was specific
25 kinds of guidelines about treatment. People could be

1 cleansed on the antipsychotics in some facilities, not all,
2 but in some. I was on the Governor's advocacy group, and
3 we effectively lobbied to have that removed, because we
4 thought it was cruel and unnecessary for a person to have
5 to have X numbers of psychotic episodes before they could
6 get to the third generation medications.

7 So I didn't want us in the name of improving
8 mental health and well being to take any backwards steps.
9 That's something that I'm very concerned about and ask that
10 we put some mechanism in place so that we can track what
11 might be happening, the potential of what can happen to
12 individuals.

13 MS. EVERETT: That's a very good thought about
14 that. I can think that through and sort of provide a
15 little bit more specific information on what is being done
16 with regards to tracking that.

17 DR. GARY: That would be good.

18 MS. SULLIVAN: You know, Anita, I really like
19 to consider myself somewhat of a person who can get my arm
20 around things, and intelligent. But I go to a county
21 health clinic, and there is no way that these people are
22 going to be able to put their hands around prescription
23 Plan D.

24 If there was just a one-page flyer, just a
25 little folder or brochure that said just like the medical

1 bill of rights that they have, just like the medical bill
2 of rights that said this is what you're entitled to, this
3 is the co-pay, and maybe it was done by the state with
4 SAMHSA, of saying this is what you need with prescription
5 Plan D, that it's not what the doctor says, and not what
6 the nurse says -- because I tell you, the doctor is going
7 to say ask the nurse, and the nurse is going to say ask the
8 doctor and ask the front desk. No one is going to want to
9 explain this.

10 I know in my residence there is someone who is
11 actually going to be giving a lecture to the elderly. The
12 city is providing lectures.

13 I see Carol Burnett on the air, and I don't
14 know if she is being, you know, paid for by an insurance
15 company. I am so confused. If I'm confused, right, I know
16 everyone in my clinic is confused.

17 There is no way. I mean, I see all of this.
18 You have to go to a website. Well, I know everyone in my
19 clinic doesn't have a computer. I see this as all going
20 inside the system. But if a brochure can be in the clinic,
21 do you know what I mean? Where it's sitting right up there
22 when you go to check in so that people who are disabled
23 know on a date.

24 I mean, how do we get this just straight out
25 into the hands? Instead of sending it to the providers and

1 sending it to people, I mean, just right in the hands. The
2 window is so small. I mean, do we have one brochure that
3 tells you, this is what you're entitled to?

4 In the State of Connecticut, maybe Tom can help
5 me with this. Your co-pays are going to be different,
6 every state is going to be different. Ken, your co-pay is
7 going to be different. Do you know what I mean? Every
8 state is going to be different. This is going to be a
9 NASMHPD issue, right? A NASMHPD issue?

10 MS. EVERETT: Yes, that's right.

11 MS. SULLIVAN: So every state and federal is
12 going to have to come up with their co-pay issues. I mean,
13 this is something that everyone has to get their arm around
14 to explain before all these people who are mentally ill and
15 have substance abuse are going to be denied their benefits
16 on disability.

17 What's the window of opportunity to sign up for
18 D? Nine months?

19 MR. KIRK: No. A plan is assigned to you if
20 you're dual eligible as of November 1, right? You are
21 automatically enrolled in the plan. And then if you
22 choose, the consumer can choose someplace after that to
23 move to --

24 MS. SULLIVAN: To D?

25 MS. EVERETT: The duals can always change.

1 They're a special enrollment category. Once a month is the
2 way it is operationalized.

3 MS. SULLIVAN: Yes, I know, but if you are
4 disabled, you have to sign up for D.

5 MS. EVERETT: Yes.

6 MS. SULLIVAN: Right.

7 MS. EVERETT: You have to sign up for it,
8 unless you're dually eligible. They are automatically
9 enrolled.

10 MS. SULLIVAN: Okay. But if you're mentally
11 ill, you have to sign up for D?

12 MS. EVERETT: Unless you had Medicaid before.

13 MS. SULLIVAN: Right.

14 MS. EVERETT: In which case you'll be
15 automatically signed up. You can change, but you'll be
16 automatically signed up.

17 MS. SULLIVAN: This is just like way beyond me.
18 I mean, and these people that are walking in there just
19 have no clue. You know, even people who you see on these
20 ads, can there be one brochure for every state?

21 Can Steve Mayberg put one out? Ken, whoever is
22 replacing you? I want to hear your reaction. I'd love to
23 hear, Tom, your reaction, before I see people in a year and
24 a half and they realize it's gone, you know?

25 MS. EVERETT: I mean, there are a couple of

1 things that I can tell you that we've done to help with
2 that a little bit, realizing that this is an extremely
3 complex benefit, to do what we can to also make sure that
4 the case manager or someone in that function for someone
5 who could help traverse this. They may have a case load of
6 50 to 60 or maybe 100 or so individuals, some of whom would
7 be eligible for this, that that individual can kind of help
8 sort out and understand the benefit.

9 MS. SULLIVAN: But the nurses don't understand
10 it, and I know some of the shrinks don't understand it.
11 You know what I mean? I mean, I know when I ask them, they
12 don't. That's why the cities are now holding these
13 conferences, but you have to be mobile, you have to have
14 bus fare to get to these things. You have to have
15 babysitters to get to these things. We're talking about
16 indigents and the very poor who don't even know that the
17 cities are holding these conferences.

18 It is this Catch-22, and the bottom that need
19 this information most, or sometimes the illiterate need it.

20 MS. EVERETT: Right.

21 MS. SULLIVAN: I mean, this is something that
22 is going to hurt the very, very poor. How do we help these
23 people before they fall through the cracks? And that's the
24 point of this prescription drug plan.

25 MS. EVERETT: Right, right. I mean, one of the

1 things that actually will, at this point in the benefit,
2 the duals, people who have both Medicaid and Medicare, the
3 most poor individuals, have been automatically signed up.

4 One of the things that is a simple place to
5 start is to look at the program that that individual has
6 been signed up for and see what is available.

7 MS. SULLIVAN: One of the things is to look at
8 it. Who is to look at? This is extremely frustrating.
9 Should someone get to the table and say, we need to extend,
10 like Tom said, this window. Maybe it's Charlie, and maybe
11 other people at HHS have to say, we have to extend this
12 window to really see this window of opportunity, extend
13 this window before people fall through the cracks.

14 I mean, we need to really look at this and say
15 oh, we've drawn this line too short. I know we have a very
16 small window to talk about this today, but this is so
17 important, that maybe we should hold this over for more
18 discussion about this on the table in April or May, because
19 this is just so important with so many people falling
20 through the cracks. If you put this on your national
21 calendar, I'm sure this is.

22 MR. KIRK: Just real quick. Different states
23 are trying to educate the consumers in different ways. One
24 of the things that we found is that we do these mass kinds
25 of things, websites and all those kinds of things. The

1 persons that we're most concerned about are dual eligibles.

2 So you've got to get to the case manager that
3 sees this person three, four, five days a week. That's the
4 one that's sitting there, and they need to understand the
5 plan. NASMHPD has been very aggressive in tracking this.
6 For those that are interested, Bob Glover, who is the
7 Executive Director, in one of the briefing pieces shows the
8 things that they're doing.

9 I think the message, at least from my point of
10 view, is this just has to be something that we track. It
11 has to be tracked probably more so post-1/1 when the key is
12 turned, that you can discuss different ways to educate.
13 There are lots of different ways that are being used around
14 the country. But when all is said and done, Joe Smith is
15 going to go on some sort of plan on January 1st, and he's
16 going to be on five plans, and some of them are going to
17 work, and some are not going to work. That's what I'm
18 concerned about. That's what needs to be tracked.

19 MS. EVERETT: Certainly that's true, but we can
20 set up something for follow-up on this. I wanted to hear
21 from this side of the table quickly, and I am getting
22 signals that our time is running out.

23 MS. VAUGHN: Ken Stark?

24 MR. STARK: I agree with everything everybody
25 said. It is confusing, and states are doing a number of

1 different things, the Medicaid offices, the mental health
2 offices, the alcohol and drug offices.

3 I agree with what Tom just said in terms of the
4 tracking, but the tracking has got to be twofold. The
5 issue of eligibility and access are always two different
6 things. I have seen many, many times where in both private
7 sector and public sector, we have contracted with entities,
8 we have put all this stuff in there that folks are eligible
9 for, but that doesn't necessarily mean they get access.

10 So if we're going to track, we need to track
11 not only the transition as to all the enrollees and did
12 that part go smooth, but we need to track the issue that
13 Tom Kirk brought up about are folks going to be able to get
14 access to those medications, or are we going to run into
15 scenarios where the plans using their medical directors or
16 their other gatekeepers going to transition people off of
17 certain medications to others under the guise of studies
18 that show cost effectiveness, cost efficiencies.

19 So I'm hopeful that SAMHSA working with CMS is
20 going to look at a tracking system, both relative to the
21 enrollee side, as well as relative to the access side and
22 transitions that are caused by the plans once folks get on
23 them.

24 MS. EVERETT: I appreciate that comment. We
25 are very actively involved in that, but I think also some

1 way to create feedback from each of you who are in
2 positions to see how this plays out more locally would be
3 very helpful for me and SAMHSA in the process of doing
4 this. So some follow-up on this I think is a good idea.

5 MS. KADE: What we'll do is we'll continue the
6 dialogue for about five minutes. I just checked with Andy
7 and Toian. What we'll do is we'll relay the dialogue back
8 to Mr. Curie and talk about a follow-up conference call or
9 an April agenda item. But we'll make him aware of the
10 issues.

11 MS. VAUGHN: Gwynneth or Barbara, did you have
12 a comment?

13 MS. DIETER: I mean, I'm not particularly
14 knowledgeable, but from the consumer viewpoint, the idea
15 that each case worker is going to have the information that
16 you have seems impossible to me.

17 I mean, you're doing a great job. I feel a lot
18 of sympathy for you, because you understand that it has
19 been given to you, but to deliver the information about how
20 it works to the people that need it seems to be impossible.

21 The only thing would be, from my viewpoint,
22 would be what Kathleen suggested, which would be in each
23 county or state or whatever to try to actually define on a
24 short, two pieces of paper, as best you can what needs to
25 be done, and send that out to everyone. Then obviously

1 give them numbers so that they can call you.

2 They are going to end up spending more money
3 tracking what isn't being done than the money we're saving
4 to begin with. I don't know. It is very difficult. It's
5 great that you have understood all this and can do it, but
6 I just don't see it working.

7 MS. EVERETT: I think by April, if that's the
8 time frame, we'll have a lot more information. It depends
9 on how much time we want to take. It sounds like there is
10 a lot of interest here. We could certainly have some of
11 our friends from CMS come over and do a more formal
12 presentation. By April, we should know a little bit about
13 information for dually eligible individuals that are
14 automatically enrolled.

15 The worst thing that can happen to them is they
16 could be signed up to a program that has less favorable co-
17 pays. But basically they are protected, and should be able
18 to access their medicine. That being of the most concern.

19 If they are assigned to a plan that they don't
20 want to be in, they can change every month. So we can
21 worry about them, but only so much immediately in January.

22 The people that stand to benefit the most from this are
23 people that probably don't have much access to meds right
24 now, these middle income people, lowish income. There are
25 about 8 million of them.

1 CMS is all over tracking them and doing
2 whatever we can to make sure that those people sign up,
3 because they are not automatically signed up. They had to
4 actively do that. Information has been mailed to them and
5 things like that, but this is a very transient population.

6 MS. DIETER: Yes. I hear what you're saying.

7 MS. EVERETT: Right. That could fit for those
8 8 million if they are not also falling into the Medicaid
9 category. They are not automatically signed up. I'm maybe
10 getting into too much detail.

11 MS. HUFF: I just wanted to mention that I'm
12 the former director at the Federation of Families for
13 Children's Mental Health. I noticed on your list of people
14 that you informed and did workshops with and that sort of
15 thing, they weren't on there. There are a lot of
16 grandparents raising grandchildren, grandparents having
17 mental health problems, and parents raising children who
18 have mental health problems, and just because it's a
19 children's organization, children's mental health
20 organization, I wouldn't not educate them in the same way
21 you've educated NAMI and some of the other organizations to
22 understand.

23 MS. EVERETT: Sure.

24 MS. HUFF: And I also understand for the
25 carrier of the message, and we're kind of beating you up a

1 little bit about this, and I don't want you to feel that
2 way, even though if I were standing in your shoes, I would
3 be feeling that way.

4 I am willing to turn it over to Faye at this
5 moment, but I just wanted you to consider allowing those
6 other organizations the same opportunity.

7 MS. EVERETT: That might be a good idea. A lot
8 of that, the Area Agencies on Aging have a lot to do with
9 dissemination. Eighty-five percent of the Medicare
10 recipient population that are aging, you know, are Medicare
11 recipients because they're aging, so that's one avenue.

12 Folks from that organization, they don't know
13 that. I think it's very worth me trying to contact them
14 and set up something with their leadership to see if they
15 have any questions, or how we can help them with that. I
16 think that's a great idea.

17 MS. HUFF: I'd be happy to make that
18 (inaudible).

19 MS. EVERETT: That would be great.

20 MS. HUFF: Also there are some other
21 (inaudible).

22 MS. EVERETT: Great.

23 MS. KADE: I think we'll have one more speaker.
24 Faye?

25 DR. GARY: I'll make this very quick. I wanted

1 to get back to the model that Tom and Ken had begun to lay
2 out. One talked about eligibility and the other talked
3 about access.

4 I wanted to add to that model treatment to also
5 say that when we begin to look at this, that we somehow
6 track the quality of treatment. Perhaps one of the
7 advantages of the atypicals is fewer side effects, fewer
8 movement disorders like tardive dyskinesia, et cetera.

9 I think we should begin now to think about
10 policy that states that when patients come in for renewal
11 of medications, there will be a systematic assessment of
12 the movement disorders and other side effects that might be
13 associated with the change of their drug regimens from the
14 second generation to the atypicals, which is the third
15 generation drug.

16 MS. EVERETT: Thank you very much for that
17 comment. That reminds me of one of our sort of highest
18 priorities, which is providing consumer choice. I think
19 that's correct, yes.

20 MS. KADE: So what we'll do is we're going to
21 review the minutes of the meeting and identify a list of
22 issues that have been identified.

23 What I will do is talk to Mr. Curie and see
24 whether or not we could arrange a conference call before
25 our next meeting. Our next meeting is actually scheduled

1 in June. So before June if we could get some
2 representatives from CMS on a conference call with the
3 members to go over the issues that have been raised, we
4 will try and do that, and we will get back to you.

5 MS. EVERETT: Thank you very much.

6 MS. KADE: Thank you, Anita.

7 We're running a little late, but our next
8 agenda item is on underage drinking. I have the remarks
9 that Charlie was going to read to you. I'm going to read
10 them to you because I think it's important to get some
11 context, and then quickly move onto our speakers.

12 Charlie wanted to move directly to this item
13 this morning, which we intercepted with this dialogue on
14 Medicare. Steve Wing, the Associate Administrator for
15 Alcohol Prevention, and rather than Mark Weber, we have his
16 representative to make a brief presentation as well.

17 Yesterday Mr. Curie talked briefly about the
18 need for SAMHSA to do more as an agency to help prevent
19 underage drinking. He also mentioned that we were not
20 doing enough together as a nation, and that it's very
21 challenging.

22 Secretary Leavitt called the Interagency
23 Coordinating Committee on the Prevention of Underage
24 Drinking to convene a national meeting. As chair of the
25 meeting, Mr. Curie has assured this committee that each

1 member of the committee is deeply committed to turning
2 words to action. Each is committed to working closely with
3 each other across departments and agencies to get the job
4 done.

5 In fact, the Surgeon General, Dr. Richard
6 Carmona, who is a member of the committee, announced his
7 intention to launch a first-ever Call to Action on the
8 prevention of underage drinking during the second day of
9 the meeting.

10 The committee also includes representatives
11 from the Departments of Health and Human Services,
12 Education, Justice, Transportation, the Office of National
13 Drug Control Policy, Department of Defense, the Department
14 of Treasury, and ex officio representation from the Federal
15 Trade Commission.

16 On October 31st, Secretary Leavitt opened the
17 meeting, which had an unprecedented roster of leaders and
18 top level state delegations and attendees from across the
19 country. The Secretary called on each governor to assemble
20 a team of experts in their respected state. Each governor
21 responded, and teams were sent from every state and several
22 territories of the District of Columbia, with the exception
23 of the state teams from the Gulf Coast region, which we are
24 organizing a follow-up meeting for.

25 The meeting was about finding the common ground

1 to save lives. In short, the meeting was about turning
2 words into action. Our nation has acted on preventing drug
3 use. The result is that teen use is down. We have acted
4 on preventing tobacco use by young people, and the result
5 is teen tobacco use is down. Yes, underage drinking
6 remains a serious, persistent, and stubborn problem.

7 Alcohol is the most widely used substance of
8 abuse among America's youth. A higher percentage of youth
9 age 12 to 20 use alcohol than use tobacco or illicit drugs.
10 Underage drinking is a leading public health problem in
11 this country. We are about to establish federal goals for
12 reducing underage drinking. Building accountability, we
13 have set measurable targets for reducing the prevalence of
14 underage alcohol use, reducing binge alcohol use, and
15 increasing the age of first use.

16 We can and must meet these targets. It is time
17 to get real focused and push back. For too long, underage
18 drinking has been accepted as a rite of passage in this
19 country. Far too many young people, their friends and
20 families have paid the price.

21 Let's change attitudes towards teen drinking
22 from acceptance to abstinence, and recognize the importance
23 of parents talking to their children early and often about
24 alcohol, especially before they start drinking. We must
25 replace an environment that all too often enables underage

1 alcohol use with an environment that discourages it.

2 It is clear that our greatest chance of success
3 depends on our ability to achieve a comprehensive, national
4 approach to preventing and reducing underage drinking.

5 Steve Wing will now share a few details of
6 SAMHSA's and the Interagency Coordinating Committee's role
7 in moving that process ahead.

8 Steve?

9 MR. WING: Thanks. We have a list of SAMHSA's
10 underage drinking activities on their way. We had a little
11 glitch, so you'll get them in a few minutes, and I'll speak
12 from my notes until you do.

13 There are eight main activities, some of which
14 Daryl has already mentioned that we're involved in. The
15 first, as Daryl mentioned, is the Interagency Coordinating
16 Committee that Mr. Curie chairs. That's been in place for
17 a year and a half and will be a standing committee. It
18 serves to try to coordinate federal activities.

19 The second is the SPF SIGs, which you heard
20 about yesterday. Third is Reach Out Now and the teach-ins.
21 Fourth is the national meeting, the town hall meetings.
22 Sixth, the website. Seventh, report to Congress, and
23 finally the Ad Council.

24 I'm not going to say a lot about any of these
25 because of the time constraints, but I'm going to defer

1 completely on the Ad Council campaign to my colleague,
2 Alvera Stern. There's no point in my covering it as well.

3 I have already talked a little bit about the
4 Interagency Coordinating Committee. As I said, that's a
5 standing committee. Yesterday you heard about the
6 Strategic Prevention Framework State Incentive Grants, the
7 SPF SIGs. They have an emphasis on underage drinking. I
8 don't really have a lot to add, except to make the point
9 that they are expected to make a significant impact on
10 underage drinking by making it far more likely that the
11 prevention systems address this important issue.

12 There has been a heavy emphasis on preventing
13 illicit drug use. I think that folks have often forgotten
14 that alcohol is the substance of choice for America's
15 youth, that a higher percentage of youth age 12 to 20 use
16 alcohol than use tobacco or illicit drugs, making underage
17 drinking the leading public health problem.

18 The Reach Out Now campaign and the teach-ins
19 are something that we have been doing for a number of years
20 now. That's a SAMHSA project in collaboration with
21 Scholastic magazine. We do underage drinking prevention
22 materials for fifth and sixth graders and their parents.
23 Those materials are sent to every classroom in the United
24 States, public, private, and parochial. Each classroom
25 gets 30 copies of the parent's section, so kids can bring

1 them home from class and talk to their parents about them.

2 One of the little twists to that is something
3 we call the teach-ins. They were started by Hope Taft, who
4 has been very involved in the prevention of underage
5 alcohol use for years. Ms. Taft suggested when this
6 program began that it might be good to draw attention to it
7 by having someone prominent in the community teach the
8 materials in a fifth grade classroom with the hope of
9 getting press coverage and draw attention to the issue.

10 She was somehow able to convince the governor
11 of Ohio to do that, and he did. That started teach-ins
12 across the country. We do that every year, and we will be
13 doing it again this year. It is one of the SAMHSA
14 signature programs. If you want more information, there's
15 a website. It is teachin.samhsa.gov. Teachin is all one
16 word. That gives you information both on the teach-ins,
17 and on the Reach Out Now materials.

18 Daryl has already talked about the national
19 meeting that was convened at the end of October and early
20 November. Three hundred twenty four team members attended.
21 They were from all states in the country, with the
22 exception of people from the Gulf States that couldn't come
23 because of Katrina. There were an additional 88 observers
24 representing public health groups, advocacy groups, and the
25 alcohol beverage industry.

1 That meeting included a speech by the
2 Secretary, Mr. Curie was the host, panelists, very
3 distinguished researchers in the field, state panels
4 talking about what states have done, and concluded with the
5 Surgeon General announcing that he was going to do a Call
6 to Action on underage drinking.

7 That meeting, we have gotten very positive
8 feedback on. When you hold those meetings, you want to
9 have something that people can go home and do next.
10 Because if you don't, they just kind of float off, and
11 everybody has an interesting time.

12 So as a follow-up to that, we asked each state
13 team to join with us in promoting town hall meetings on
14 underage drinking across the United States in March of
15 2006. I'm not going to take the time to go through all the
16 details on the town hall meetings. I have given you a
17 little two-page write-up, a Q and A form, that goes over
18 what we're looking for.

19 This is a joint activity between SAMHSA and the
20 Interagency Coordinating Committee on the Prevention of
21 Underage Drinking. So all of the agencies involved will be
22 helping with this. SAMHSA, however, is stepping up to the
23 plate by providing \$1,000 to each of the 1,500 communities
24 across the country. It's not enough to open a whole
25 coalition, but it is enough to help a coalition with some

1 of the costs to hold a town hall meeting. This two-page Q
2 and A will give you some of the details about what we're
3 expecting and hoping to achieve.

4 Those meetings, the communities that are going
5 to receive the stipends in each state will be selected by
6 the state teams that attended the meeting earlier this
7 fall. So we're asking the teams to kind of get together
8 and find communities that they think would do a good job.
9 The overall goal here is to try to get some national
10 momentum behind looking at this issue. One of our
11 problems, which you'll hear more about from Alvera, is that
12 most parents do not see this as a serious problem.

13 One of the reasons is that they don't
14 understand a lot of the research that has come out in the
15 last ten years that show that there are not only the short-
16 term consequences of underage drinking which we all know
17 about, the risk of injury, death from drunk driving and
18 that sort of thing, but there are also some long-term
19 consequences, such as the increased likelihood of having an
20 alcohol problem later in life.

21 Another thing that we've done through the
22 Interagency Committee with SAMHSA's support is a website
23 called stopalcoholabuse.gov. That's an all government site
24 where people can get access to information about underage
25 drinking from all the federal agencies.

1 You might want to, if this is an issue you're
2 interested in, you might want to take a look at that
3 website. We had some of the materials from the underage
4 drinking in place several weeks ago, including a link to
5 NIAAA. They have a new publication out that summarizes
6 their research on all the areas related to underage
7 drinking, the epidemiology, as well as the consequences.

8 There is a report to Congress, the first annual
9 report on underage drinking that SAMHSA and ICCPUD
10 developed. I can't tell you much more about it. It is
11 there, and that contains the goals that Daryl mentioned.

12 That leads me to the Ad Council campaign. I'm
13 going to turn it over to Alvera Stern at this point.
14 You'll find this very interesting.

15 DR. STERN: Hello, everybody. I'm going to go
16 over very, very briefly the background to the Ad Council
17 Underage Drinking Prevention Program, and then talk very
18 briefly about some of the research, show you the PSAs, and
19 then talk briefly about the next steps.

20 We did a fairly extensive review of the
21 research, and in fact got about 250 germane articles, two
22 volumes that any of you who are interested may look at, and
23 much of the research was from SAMHSA, NIAAA, the AMA, and
24 our friends across the nation.

25 We found out, first of all, that about 29

1 percent of underage people, 12 to 20, reported current,
2 that is monthly, alcohol use. Of those, about 40 percent
3 were 12 to 17. We also know from the research that the
4 number of deaths for underage kids caused by alcohol is 6.5
5 times more than the deaths from all other illegal drugs
6 combined.

7 We found also that kids who begin drinking
8 alcohol before the age of 15 are five times more likely to
9 develop alcohol problems than those who start after the age
10 of 21. That was an '03 study from NSDUH. Joe Gfroerer in
11 OAS tells me that that percentage, that five times, is
12 probably a little higher in the newer data.

13 Many kids in this country start lifetime use
14 around 11 or 12. Between 12 and 15, that percentage of
15 kids using goes up fairly dramatically, a mathematical
16 progression. We also know that of people in treatment,
17 alcohol-dependent adults, about 95 percent say that they
18 started drinking, they had their first drink before the age
19 of 21.

20 In our research of parents of which we just
21 pulled out, of course you know there is an enormous amount
22 of research here. Most kids say it's easy to obtain
23 alcohol, and it is very easy to get it from their homes.

24 In studies by NIAAA and others, we have known
25 for some time that the protective factors from parents are

1 very, very significant. Kids are much less likely to drink
2 if their parents are bonded with them, if their parents
3 have conversations with them, if their parents have neither
4 very, very, very rigid rules, nor very, very, very loose
5 rules, but have a rubber band approach to parenting where
6 the kids know the boundaries, and the boundaries change as
7 the kids get older.

8 We found out that parents do know that they
9 have influence. They don't know how much influence they
10 have, and very often they underestimate the influence.
11 They have difficulties knowing quite what to say to their
12 kids about the subject.

13 From this early research, we made the objective
14 to encourage parents to speak with their children early and
15 often, with two goals in mind. To delay the onset of
16 underage drinking, and to ultimately reduce underage
17 drinking.

18 After this literature research, we went to the
19 parents and the kids themselves, and we did focus groups in
20 various areas of the country with various different types
21 of parents and their children. What we found is that most
22 parents felt there were other issues that were much more
23 critical than underage drinking.

24 Drug use, much more critical, sexual activity,
25 much more critical in their eyes. Parents generally

1 thought it was other kids drinking, not their kids. There
2 was a lot of denial in all the focus groups. Their kids
3 simply weren't part of the large percentages we quoted to
4 them. They simply did not believe that drinking could
5 possibly start in middle school.

6 We also had a very interesting happening at the
7 same time. We were working with the ad agency Kaplan
8 Thaler. A bunch of 30-somethings, early 40-somethings that
9 didn't believe the research either, because they all had
10 kids in this age group. There were many conversations that
11 happened after our meetings with the ad agency personnel as
12 they went home and talked to their kids and said, I just
13 don't believe this.

14 The parents were concerned about safety issues,
15 if they were concerned about underage drinking. They
16 talked a lot about the dangers of drunk driving. But they
17 said, you know, we did it, it's not a big deal as long as
18 the kids drink at home or drink in moderation, it's fine.

19 After this research, oh, one more thing. We
20 went over the statistics, some that I showed you, and
21 others, to see what parents resonated with. There was
22 really only one statistic that made them sit up and take
23 notice. That is the statistic that if kids start drinking
24 before the age of 15, they are five times more likely to be
25 alcohol-dependent after 21.

1 From this focus group, we chose our target
2 audience, parents with kids age 11 to 15, targeting parents
3 of kids in early middle school. Kids who have started not
4 yet drinking. The key message we wanted to get through to
5 parents was the chances of the young person developing an
6 alcohol problem increases the earlier the child starts
7 drinking. With the go-home message, the action message, of
8 start talking with your kids before they start drinking.

9 (PSA shown.)

10 DR. STERN: There are some radio ads, two
11 printouts, and you'll see them on either side of the podium
12 there.

13 We have packaged these, and they are on your
14 table. We can mail them to you. If you open the package,
15 inside are the print copies and a little fact sheet. Now,
16 we are going to make these available starting with training
17 for the town meetings. The first two trainings, one is in
18 Boston, one is in Reno, at the end of January. We'll be
19 training prevention people in the regions to organize town
20 meetings, and also get hold of the PSAs and go down to the
21 local media and get them on the air, radio, and print.

22 So we are printing community kits that will be
23 a little flatter than this, because they'll have CDs
24 instead of the VHS tapes. Those will go across the
25 country. The media were mailed these kits last week, and

1 we're expecting to start them playing probably in a week,
2 or two, or three, and definitely in January.

3 We're also going to make sure that in the
4 Scholastic handouts to all teachers, fifth and six grade,
5 this work is highlighted, and parents are directed to the
6 stopalcoholabuse.gov. On stopalcoholabuse.gov, you can
7 click on the PSA and then go to a website for families that
8 talks about alcohol abuse and its prevention.

9 So questions for Steve and I?

10 MS. RACICOT: I want to know, are you buying
11 time? Or are they all PSAs? Even when you send these out
12 to the press, are we purchasing air time?

13 MR. WING: No, we're not buying time.

14 MS. RACICOT: So we don't have a lot of
15 guarantees that they're going to be willing to run them?

16 MR. WING: Well, we're hopeful.

17 DR. STERN: Let me just say something about
18 that. These were from ONDCP and they were accepted, which
19 means that they will get much higher play time because, as
20 you know, ONDCP buys the time for drug ads, but when the
21 station buys time to use the drug ad, they have to promise
22 to do a match time for another set of ads, and there are
23 only a very few set of ads that qualify for the match.

24 MS. RACICOT: What has been the industry
25 response? Do you know? Have you gotten any from them?

1 DR. STERN: Yes. We heard from the Beer
2 Industry Executive Council, Coors, and of course our
3 advocates. We worked with the industry. The legislation
4 that earmarked this money for the Ad Council said that we
5 must do this in consultation with the industry. So we have
6 had two meetings with the industry and advocates that were
7 public and organized ahead of time.

8 Then Steve has done quite a bit of talking with
9 the industry. I have done that in consultation with the Ad
10 Council. These ads, they have been well received by CSPI
11 also.

12 MR. WING: It was sort of interesting,
13 actually, this happened actually that the advocacy groups
14 and the industry groups jointly signed the letter to
15 Congress requesting that the Ad Council campaign be funded
16 this year. That was surprising.

17 The only other thing I'd add is that this
18 campaign tracks closely recommendations from the Institute
19 of Medicine's report on underage drinking several years ago
20 that recommended that we target parents, that there wasn't
21 enough evidence for targeting children.

22 DR. STERN: The other thing, I just got a note
23 from someone suggesting that I mention that these ads just
24 won a very prestigious Silver Bill Award. The Ad Council
25 takes all of its campaigns every year and sends them out to

1 the ad agencies that do creative work, and those peers vote
2 on the best ad campaigns of the year.

3 The Ad Council this year had 80 campaigns, 8-0.

4 The peers voted this the best.

5 (Applause.)

6 MR. STARK: I was just going to make sort of a
7 comment that it is nice to see that alcohol is getting play
8 time on the federal agenda now, more so than it did awhile
9 back, and it needs to, especially given all the statistics
10 that are pretty obvious.

11 But it is sad to hear that the alcohol and
12 beverage industry still seems to somehow have an impact on
13 the federal budget not buying time for these ads. That's
14 an opinion, and I'm free to give it. I'll continue to
15 state that. It's an historical problem. I think everybody
16 who works in the alcohol/drug field knows.

17 It would certainly be nice to see more dollars
18 appropriated to actually purchase time on the alcohol side
19 of these ads, and not just the drug side. Especially given
20 the fact that it is the number one killer drug.

21 MS. DIETER: I agree. I mean, I would like to
22 see this ad on ten times a day everywhere. I actually
23 didn't realize that the federal government didn't pay for
24 ads against the use of alcohol. That's shocking.

25 MS. HUFF: You kind of answered it and kind of

1 didn't, but I was just wondering why it was important that
2 we had adopted the industry's collaborative effort on this.

3 MR. WING: Well, we were directed to do it by
4 Congress.

5 MS. HUFF: I got that.

6 MR. WING: It was a condition of the funding.
7 Kathleen?

8 MS. SULLIVAN: I emailed Tom. I think I
9 emailed you and everyone on the council about a New York
10 Times piece where the alcohol companies were actually
11 targeting young drinkers in the guise of doing these kind
12 of promotions, you know, little parties, but it was aimed
13 at binge drinking.

14 I think, Tom, you emailed me back that you had
15 some success at the state level of nipping it in the bud.
16 This gives us an opportunity to at least talk about it.

17 MR. KIRK: I wish that was my response. I
18 think the reality was that when I spoke to my prevention
19 people, they were aware of some of these kinds of
20 activities going on, but the article basically was that
21 particularly college settings, binge drinking was some sort
22 of game where you throw something and then you drink.

23 I think my comfort was that within some work
24 that we are doing with all of the community colleges and
25 state colleges in Connecticut, this is one of the focuses.

1 We haven't seen yet what the outcome of that is.

2 MS. SULLIVAN: Even because it's becoming such
3 a social, binge drinking is becoming such a hit thing, with
4 young kids even seeing it, I mean, I just wanted to bring
5 that up, as we talked about binge drinking before. It's
6 just so popular on campuses.

7 MR. WING: I think you're talking about the
8 beer bong or something like that.

9 MS. SULLIVAN: Yes.

10 MR. WING: My understanding is that lot of the
11 support for that has been withdrawn as a result of public
12 pressure.

13 MS. SULLIVAN: Yes, yes, exactly.

14 MS. RACICOT: I want to say one thing about the
15 advertising. The Internet is a disaster. They have all
16 kinds of kids video games buying beer at websites that lure
17 the kids in to play some little car racing game, and then
18 get into Bud Lite, Miller, and all the rest of them.

19 The advertising is unbelievable, and I think
20 basically we as Americans and parents are totally unaware
21 of it. I don't know if any of you have seen CAMY's
22 presentation on alcohol advertising. It will make your
23 hair stand right on end.

24 Our kids are so exposed to alcohol in their
25 lives from the time they're this age on up. I frankly

1 can't imagine anything else in this country that we would
2 be screaming from the rooftops about, lead in our paint,
3 lead in our water, we can't do anything now without a car
4 seat that costs \$250 in this country. And yet we expose
5 our children every day to the worst poison in their lives,
6 and we do it because it's a legal substance that makes
7 money, and because of power lobby.

8 I'm free to say this. Frankly, I think it's
9 the truth, and until we address this as a country and a
10 culture, we're still going to have the number one drug that
11 our children get every day. I mean, I saw a young girl, 22
12 years old, who is a meth addict who has been clean for four
13 years.

14 She stood in front of a group at a press
15 conference, and she said, I started at 14 with alcohol. At
16 15, I was smoking pot, at 16 I was raped. At 17, I started
17 meth, and for the next nine months, I spent every day
18 trying to do what I could do to get it. She said, my
19 parents, God bless them, mortgaged their house and
20 practically sold their lives to put me in treatment. She
21 said, I'm 22 years old today, I started college, and
22 there's not one day I don't wish I could use this drug.
23 She started with alcohol.

24 We are naive if we don't believe it's the
25 gateway to what is destroying people's lives. There is a

1 lot of proof now that you can have alcohol problems the
2 rest of your life by 15 to 18 years old. This is no longer
3 a disease that you get by the time you're 40. You get this
4 young. It starts young, and it destroys your life.

5 The other thing I want to say, and then I'm
6 done. This is my soap box, in case you haven't noticed.
7 The other thing I want to say is if you have a child that
8 has a problem with alcohol, don't think you can't talk
9 about it. That is when you need to get up and talk about
10 it.

11 Kids make choices that destroy their lives. It
12 is not a shame on you as a parent. Parents need help, they
13 need empowerment. If your kid screws up, tell other people
14 and give them some courage to start looking at their own
15 kids. I say that as a parent who has walked this road. I
16 know.

17 The Surgeon General's report was on the table
18 four years ago. The Surgeon General's Call to Action, and
19 somehow it fell off. I am so grateful that it's going to
20 be done today. This nation still responds to doctors
21 speaking. When the Surgeon General, the nation's doctor,
22 says we need to look at childhood and underage drinking,
23 it's going to carry power. It did with tobacco. Thank God
24 it's going to happen, and I hope it's an incredible piece.

25 MR. WING: Thank you.

1 I think, Ms. Sullivan, you had something.

2 MS. SULLIVAN: When did the FCC change the
3 rules allowing advertising, liquor advertising, back on the
4 air?

5 MR. WING: I don't think they have changed.

6 MS. SULLIVAN: Oh, you betcha.

7 MR. WING: Not in the last year, though.

8 MS. SULLIVAN: I mean, I see liquor ads
9 constantly on television now. I mean, at least on the
10 cable networks which, as far as I'm concerned, are
11 basically the same. I wonder if there is a study that
12 shows the pervasiveness, if that indeed is the reason for
13 the increase of teen drinking. If there is a parallel of
14 teen drinking increase to the frequency of advertising on
15 television. If that is the parallel increase, who should
16 do that study?

17 MR. WING: There are studies right now. Ms.
18 Racicot mentioned CAMY, which has some very interesting
19 stuff on their website. It is camy.org.

20 However, I must tell you that NIAAA, which is
21 the custodian of science on this, has concluded as recently
22 as two months ago that the evidence is inconclusive on that
23 subject. That's the basis for which we and the FCC and
24 everybody has to proceed.

25 Also, the IOM report concluded that the science

1 -- now this was several years ago, but the science was
2 sufficiently inconclusive. There was not sufficient
3 evidence to allow them to recommend that it override the
4 constitutional protections on free speech. The chair of
5 that was a constitutional scholar.

6 So that's my best understanding of what the
7 experts and the scientists are saying. Now, that may
8 change over the next year, because there are a number of
9 studies that are out. That's where we are right now.

10 MS. DIETER: I just want to support what
11 Theresa said. I just agree with you 100 percent. It has
12 been a passion for me since before this all began for
13 various reasons.

14 I want to say the ads that you created are
15 wonderful, particularly because they address two things
16 that I think are so important. I think that most parents
17 still do not know that the young age of initiation of drugs
18 has these dire consequences. That's a piece of
19 information, and that's what you're giving them.

20 Number two, they don't know that there is
21 anything they can do about it. I was totally surprised
22 with the first drug use report, or whichever study it was
23 about three years ago when I first saw it that you had a
24 basically 30 percent greater chance of not developing an
25 addiction if your parents talked about not drinking and not

1 using alcohol.

2 I thought, my gosh, I know I can think of 100
3 people I know who would love to know that something they
4 were saying and starting young could actually make a big
5 difference. I think alcohol is accepted, it's not
6 considered a drug in our society.

7 They think it's the norm, and what can I do?
8 What can I do? Well, just knowing that conversation is
9 huge. So I thank you for doing the ad. I didn't realize
10 the federal government couldn't pay for these ads.

11 MR. WING: Well, I don't think it's a matter of
12 whether we can pay for them. It's a matter of whether the
13 money is appropriated.

14 MS. DIETER: Yes. That it hasn't been
15 appropriated. I guess we should work to have that
16 appropriated, because I think it's just shocking.

17 MR. WING: What you just said is reinforced
18 through the focus groups that Alvera mentioned, that the Ad
19 Council did with the parents. They didn't think their kids
20 were doing it. They didn't see it as much of an issue, as
21 long as they weren't drinking and driving.

22 They were very surprised to hear that there
23 might be permanent damage, that if they started drinking
24 young, that they were more likely to have an alcohol
25 problem later in life. Which is, as Alvera said, why those

1 ads zeroed right in on that.

2 We are hoping that those ads will provoke a lot
3 of discussion in a lot of homes around the country. We're
4 also hoping that these town hall meetings, one of the
5 things we're really hoping is that the communities that are
6 involved with the town hall meetings will encourage their
7 local media to run the ads.

8 MS. HUFF: You know, my daughter went on a
9 skiing trip when she was about 13, and her room was such a
10 disaster, I decided to clean it while she was gone. I
11 found wrapped in a baby doll blanket in the baby doll buggy
12 with the baby dolls a bottle of whiskey. Of course I was
13 shocked.

14 To make a very long story short, she was
15 addicted to cocaine by the time she was 18, and by the time
16 she was 19, she was selling cocaine. By the time she was
17 20, she was selling her body.

18 So I'm sitting here like many of us, living
19 proof of all of this. Who would have ever known at 13
20 years old wrapped up in a baby blanket was this bottle of
21 whiskey which would have impacted the rest of her days.

22 MR. WING: Right.

23 MS. HUFF: But it is a shameful thing to talk
24 about. I mean, I was ready when she got home from that
25 skiing trip with every bone in my body quivering. I might

1 not have ever gone to clean that room.

2 Even though I did, the outcome was not good.
3 What I didn't know is what we just saw, is that I didn't
4 know to compare later, and I didn't know the signs then of
5 cocaine, I didn't know the signs of anything.

6 MS. DIETER: Or to talk about it before she was
7 13.

8 MS. HUFF: Or to talk about it before she was
9 13. For God's sake, she was still playing with dolls.

10 MR. WING: Well, certainly the alcohol data
11 embedded in our society is not new. That goes back to, it
12 actually goes back to the Greek, and I won't bore you with
13 that. But it is at least 2,500 years.

14 That said, we do know so much more now than we
15 did 10 years ago, the science and the impact on the young.
16 I think we can probably start to draw some parent's
17 attention to it. So I am hopeful of that.

18 DR. GARY: I just wanted to say, too, that I am
19 most appreciative for the ads. I think they are very
20 excellent.

21 I wanted to get back to the comment that
22 Theresa made, and also emphasize the teach-ins and the
23 teach-outs at school, and bring to our attention, too, that
24 some parents don't have the capacity to teach their
25 children. Some parents are alcoholics and will not teach

1 their children because it's an interception of their own
2 behaviors. So we know that that is not going to happen.

3 Some families don't have the capacity to teach
4 their children. Some families don't think they have the
5 self-efficacy to do it. For those families, I think we
6 could come back and focus on what happens in the schools.
7 It seems to me you have a very solid structure set up for
8 the schools, and the teach-ins and the reach-outs in the
9 school.

10 So I would like to know more about that effort,
11 and also to ask if you have in place any mechanism whereby
12 you can be able to discuss and describe the impact that the
13 teach-ins and the reach-outs will have on children in the
14 schools.

15 I think if you don't, a large percentage of
16 children will fall through the slats simply because they
17 don't have the structure at home to implement what you wish
18 you had with the parents teaching the children. So I'd
19 like us to focus on that population of children, too, and
20 to ask if there is a second tier to coordinate those
21 efforts, which I think are excellent.

22 MR. WING: Well, a couple of points. First of
23 all, the Scholastic magazine has done some evaluation, and
24 has found the materials to be very well received.

25 That said, we know that they are not as broadly

1 used as we would hope. There are many school systems where
2 they are used, and there are other places where they end up
3 on a shelf. So one of our challenges is to try to make
4 sure that they are more properly used.

5 We don't have, beyond that sort of evaluation,
6 the kind of fine-grained evaluation that would allow us to
7 know what you're talking about. But I think it's an
8 excellent point.

9 This note says thank you and good night.

10 (Laughter.)

11 MR. WING: As it turns out, I have to leave
12 anyway, because we have a meeting at 11:00 at the Surgeon
13 General's Office on the Call to Action.

14 MS. DIETER: Can I ask you just one quick thing
15 while you're leaving? Since that fifth grade Scholastic
16 magazine information was so very successful, had such a
17 great response, why don't we do something again in 8th
18 grade and in 10th grade?

19 PARTICIPANT: We don't teach (inaudible).

20 MS. DIETER: Yes.

21 MR. WING: Well, we started with the 5th
22 graders.

23 MS. DIETER: I recommend that they follow it up
24 two more times or more.

25 MR. WING: Right. We started with 5th grade,

1 we have moved to 6th, but we'll certainly bring that back
2 to Mr. Curie. No, I agree.

3 MS. DIETER: I thought about it a lot before
4 this.

5 MR. WING: Well, we all know about DARE. It
6 was a one-shot thing and it didn't do much good, but the
7 studies seem to suggest that it may actually have some
8 effect.

9 MS. KADE: Thank you very much.

10 So I'd like for us to move to our next agenda
11 item on the National Child Traumatic Stress Initiative
12 Program. Sybil Goldman will be giving an overview, and
13 then we have several presenters. Thank you.

14 MS. GOLDMAN: I have to say, I found that
15 discussion very interesting. As the children and families
16 person at SAMHSA, there is a lot of very exciting things
17 going on in this agency that do provide a lot of services
18 and information in treatment and early intervention.
19 That's a very important initiative.

20 I work closely with Steve, because he's really
21 the person who is the point person for us on underage
22 drinking.

23 I'm delighted to be here with you at council
24 this morning. At your request, we wanted to highlight some
25 of the programs that SAMHSA funds and provides in

1 communities. There are effective programs that work with
2 children and their families. So that's what we're going to
3 do today, put the spotlight on the National Child Traumatic
4 Stress Initiative, which is really an extraordinary
5 resource that we have in this agency, for the work that it
6 does across the country.

7 The network develops effective approaches for
8 treating trauma and people exposed to trauma, disseminating
9 information about those approaches, providing training, and
10 providing direct services in communities.

11 I don't know whether yesterday Charlie talked
12 about this, but the Department of Education, the Secretary
13 of Education, Margaret Spellings, asked SAMHSA to join
14 forces with them in working with schools across the Gulf
15 area that had been impacted by Hurricanes Katrina and Rita,
16 and they asked if we would bring our child trauma experts
17 along with us to work directly with those principals and
18 with those teachers around the children in the communities
19 that had been impacted by the hurricanes.

20 They were extraordinarily effective, and
21 they're very appreciative, because those schools had access
22 to some of the best experts in the country with some of the
23 things that they were experiencing not only with the
24 children, but what we found out with the parents as well.
25 So just one of the many ways that this initiative provides

1 support across country.

2 I'm just going to take a very few minutes to
3 provide a context for our speakers this morning that
4 focuses really on them and what they are doing in
5 communities, and then to give you a chance to have some
6 discussion.

7 I also wanted to mention that the National
8 Child Traumatic Stress Initiative is headed up by Seth
9 Hassett, who is the chief of the Emergency Mental Health
10 and Traumatic Stress Services Branch at the Center for
11 Mental Health Services. Seth has been doing a lot of work
12 with his team in the Gulf Region.

13 Dr. Cecilia Casale is behind me and Dr. Malcolm
14 Gordon, as well as some other members of the branch, will
15 be here today to also help answer any questions you might
16 have in discussion.

17 I'm just going to skip over these slides
18 quickly.

19 This gives you, and actually it's a little hard
20 to see, I realize, but this gives you a picture of where
21 our grant sites are for this network. They are spread
22 across the country.

23 What the little grid at the bottom talks about,
24 and I'll give you a little bit more information about this,
25 is that there are really three categories of grants to this

1 initiative. One is the National Resource Center, and
2 that's actually a collaboration between UCLA and Duke, and
3 then there are what we call the Category II sites, which
4 are the -- I always get these confused here. These are the
5 treatment and service adaptation sites. Again, I'll talk a
6 little bit more about that. Then there are the Category
7 III sites which are the community services sites. It gives
8 you a picture of how we cut across the nation.

9 So there are these three funding categories.
10 This is approximately a \$25 million initiative. This has
11 congressional support, it's actually a congressional
12 initiative. We are very excited that it's here at SAMHSA.

13 The goals are to improve the quality,
14 treatment, and services for children, adolescents, and
15 parents who have experienced trauma, and to increase access
16 to quality trauma services.

17 I think what is unique about this is that it
18 really does look at all aspects of trauma. So I just gave
19 you an example about our work with natural disasters. The
20 trauma initiative was very engaged after 9/11 around
21 terrorism, but you'll hear today that there are multiple
22 kinds of trauma that the centers are dealing with and
23 providing effective treatment approaches for. Physical
24 abuse, sexual abuse, domestic violence, community violence,
25 from accidents and from medical conditions. So it's a

1 broad array of trauma issues.

2 So the Category I center, the national center
3 that I mentioned is at UCLA and Duke, and also had, and
4 Barbara noticed this, has a contract with the Federation of
5 Families for Children's Mental Health. It was a commitment
6 made early on that we wanted to make sure that families
7 were involved at the outset, at all levels of what the
8 trauma center is doing.

9 But this center provides leadership, develops
10 and maintains the network structure, provides technical
11 assistance to the grantees, coordinates the education and
12 training. It is really the hub that brings these pieces
13 together.

14 Then there are the two other categories of
15 grants. These Category II grants are the treatment and
16 service adaptation centers.

17 Are these slides in your packet? I'm not going
18 to go through all of these.

19 It lists out a variety of kinds of activities
20 that these centers do. They are primarily university-
21 based, and they are the ones, and I'm not going to go
22 through all of these. They are the ones that develop,
23 implement, and disseminate the effective interventions in
24 these particular areas of trauma expertise.

25 They also play a role in training around these

1 approaches, develop the products, and they also see how
2 trauma interventions can be adapted to different kinds of
3 communities. Does something work in rural communities as
4 well as in urban communities? With different populations
5 of children? Maybe those in foster care, or those that are
6 in other kinds of settings.

7 So it's how we learn from what works, and then
8 see how it can be adapted to other settings and other
9 populations. If you skip over to the Category III slides,
10 the Category III grantees, the community treatment and
11 services centers, these are the centers that are actually
12 providing services in communities.

13 So that we can take these interventions that
14 we're learning about and support them, taking communities
15 and different childhood systems and make sure that these
16 are sustained in the communities. The Category III
17 grantees also do some evaluation of the interventions that
18 are going on in their communities because they are in
19 different communities across the country. So again, we
20 represent different populations.

21 So today what we're going to do is focus on
22 examples of two of these Category III treatment centers
23 that have different populations and different areas of
24 focus.

25 Our two speakers today, and we're very

1 fortunate to have them here, are Dr. Elizabeth Thompson,
2 who is the Director of Clinical Services with the Kennedy
3 Krieger Family Center, which is in Baltimore and does a lot
4 of work with children exposed to community violence, sexual
5 abuse, child neglect, so she'll be talking about some of
6 the interventions and approaches there.

7 Then we have Bob Hartman, who is the Executive
8 Vice President and COO of the DePelchin Children's Center,
9 which is in Houston, Texas. That is a large, multiservice
10 agency that provides services to children exposed to a wide
11 array of trauma. But also the DePelchin Center was key in
12 assisting the evacuees that came to Houston and to Texas
13 and did a lot of training of the shelter workers around
14 what to look for in terms of the children that were
15 impacted by Katrina and by Rita.

16 So these are people who are very much on the
17 front lines and we're very happy to have them with us
18 today. After they present, we'll have some time for
19 discussion.

20 DR. THOMPSON: Thank you. I organized my
21 presentation to sort of talk about life at Kennedy Krieger
22 Family Center before SAMHSA and life at the center after
23 SAMHSA.

24 Kennedy Krieger Institute is a facility in
25 Baltimore that has an international reputation for

1 providing services and improving the lives of children with
2 developmental disabilities, pediatric developmental
3 disabilities. The Family Center is one of 40 outpatient
4 centers that come under the Kennedy Krieger Institute
5 umbrella. We were established in 1985, and the original
6 mission of the Family Center was to meet the unmet mental
7 health assessment and treatment needs to children who were
8 in the foster care system that did not have services being
9 provided to them.

10 As we began to work with the children in foster
11 care, what we quickly discovered was that not only were
12 these children in foster care, but these children had also
13 experienced a great deal of sexual abuse, physical abuse,
14 and maltreatment, domestic violence, and community
15 violence.

16 So as the Family Center began to develop
17 programs, we sort of broadened our scope beyond the
18 original mission of working with children in foster care,
19 and ended up developing programs and responding to children
20 and families that had been impacted by violence.

21 When we started about 20 years ago, 100 percent
22 of our children that we served were in foster care. Today
23 that amount is about 50 percent. So it is not as large as
24 the original population when we originally started. But
25 clearly foster care still represents a large segment of who

1 we provide services to.

2 Prior to our involvement in SAMHSA, there were
3 a lot of things that we were very proud of. We had
4 established a real expertise in the community of working
5 with chronically traumatized children. These are children
6 who not only experienced a single trauma, but kids that
7 experienced lots of traumas over a long period of time.
8 That was the area that we had a great deal of expertise in,
9 and a lot of clinical knowledge. We had developed our
10 programs in an effort to be responsive to this population.

11 Another thing that we were doing very well I
12 think before we received the SAMHSA grant and became a part
13 of the network is that we developed a lot of specialty
14 clinics. So, for instance, we have a clinic that works
15 primarily with children that are sexually abusing other
16 children as a function of their own unresolved sexual abuse
17 issues.

18 We have a family clinic that works very well
19 with children who are experiencing children and families
20 who are involved in multi generational trauma. So we have
21 these clinics, so we really developed in ways that allowed
22 us to treat specific population of traumatized kids in a
23 very focused and organized way.

24 Both SAMHSA and the network placed a lot of
25 emphasis on cultural awareness and service delivery, and

1 this is another thing that I think we were doing well
2 before we received SAMHSA. From the moment I interview a
3 potential staff person, we talk about the importance of
4 cultural awareness in service delivery, staff are expected,
5 it comes up in supervision. It is actually part of the
6 written performance evaluation. So it is not something
7 that we just pay lip service to, it's infused in every
8 aspect of our agencies. In fact, it's one of our guiding
9 principles.

10 We also have very established partnerships with
11 child servicing systems, child serving systems in Baltimore
12 City. The Baltimore Department of Social Services, we have
13 a longstanding relationship with Baltimore Mental Health
14 Systems, which is the agency that provide all of the public
15 mental health services to children involved in the city.
16 We also have a long-term relationship with Baltimore Child
17 Abuse Center, which is a single point of contact for all
18 children that are sexually abused when the abuse is
19 reported, of course. It's a single point of contact for
20 all of those kids.

21 The Family Center serves approximately 1,000
22 children a year on an average of 17,000 visits. In
23 addition to providing services to children in our clinic,
24 we also have therapists who provide services in four
25 schools that are located in very high crime areas in

1 certain Baltimore City neighborhoods. We have therapists
2 who go to the homes for clients who can't come in.

3 The next couple of slides will give you an idea
4 of what our population looks like at the Family Center. As
5 you can see, 91 percent of the children have experienced at
6 least one traumatic event. I think you can see that this
7 is sort of a list of the types of trauma that we have
8 provided treatment for at the Family Center, and some of
9 the frequencies.

10 This is just a list of the ones with the
11 highest frequency. We actually document about 45 different
12 types of trauma. This just gives you an idea of those with
13 the highest frequency. The average kid that we provide
14 services to has experienced three traumas. Not necessarily
15 on this list, because this is not an all inclusive list,
16 but the average kid has experienced three traumas.

17 We actually have children who have experienced
18 10 and 11 different types of traumas. So that begins to
19 give you a picture of the kind of children and families
20 that we're working with.

21 This is a list of parental issues. This is a
22 percent, this slide is really birth parent issues. The
23 thing to keep in mind is that we have children in birth
24 families, and we still have a significant portion of our
25 children who are in foster families. But you can begin to

1 see some of the things that are critical to look at and
2 understand in terms of working with children that have been
3 traumatized.

4 Not only are the children traumatized, they
5 come from primary families that have a set of issues that
6 pose additional risk factors.

7 To kind of give you the story of our
8 involvement with SAMHSA and the network, to take you back a
9 few years before we were funded in '03, probably around
10 November or December of 2001, we began at the Family Center
11 to make a very purposeful and strategic effort to improve
12 our program in certain areas.

13 One of those is we decided to improve upon our
14 outcomes evaluation system. Another thing we decided to do
15 was to begin using treatments that dealt with the
16 neurobiological impact of trauma. A third thing that we
17 decided to do was to encourage our staff to integrate
18 research into clinical practice in a more deliberate way.

19 Probably in early 2002, one of the things I did
20 in terms of trying to change the culture was it was
21 somebody on my staff's responsibility to review the trauma
22 literature that was coming out in academic databases. At
23 some point she discovered that there was this trauma
24 network. Of course we made some phone calls and somebody
25 said it is too late, you can't get it in it, it is already

1 set.

2 I remember how disappointed we were, because
3 even at that time, we felt like we would have been a really
4 good match for the network because of the things that we
5 were doing, and because of the things that the network was
6 doing.

7 At that time, I didn't know that this was sort
8 of rolling funding, so I had no idea then that we would get
9 another opportunity. In 2003 when the RFA came out, this
10 is a true story, within five minutes, three people on my
11 staff emailed me and said, Elizabeth, look, there is
12 another chance, we have to go for this.

13 Interestingly enough, I hope this is okay to
14 say, I'll say it. It is the only time that I have ever
15 been involved in a grant writing process that I was
16 actually pretty confident the whole time that we were going
17 to get the grant. That's how strongly I felt about the
18 match that our program was to SAMHSA.

19 Of course we were funded in 2003. Because of
20 the support from SAMHSA both in terms of the funding, but
21 also in terms of the regular contact and the support and
22 the network collaborative structure, we've been able to
23 improve the standards of care and access to services in
24 Baltimore City.

25 I want to say a bit more about the network

1 collaborative structure. I believe it is the network
2 collaborative structure, that's one of the things that
3 makes the network work so well. It's the collaboration.

4 It's funny. I told my daughter I was going to
5 be nervous this morning. She asked me, don't forget to
6 have water with you, because when you get nervous, you have
7 a dry mouth. Interesting, even though she's a 13-year-old
8 in the 8th grade. You can bet the conversation I'm going
9 to be having with her when I go home.

10 (Laughter.)

11 DR. THOMPSON: So it's not just 45 different
12 agencies doing their own thing coming together once a year.
13 There really is strong collaboration in an ongoing way.
14 We share resources, we submit data to a common data pool.
15 Phone conversations, email conversations, meetings, so the
16 collaboration I think is really key. You get to form
17 relationships and sit at the table with other experts in
18 the field of childhood trauma. That's a pretty unique
19 setup.

20 Raising the standard of care. While this
21 slide, I want to divert just to give you one example. We
22 have really seen improvement since we've been in the
23 network in terms of individual therapy, in terms of my own
24 program at the Family Center, and also the impact that
25 we've been able to have on Baltimore City.

1 Just to give you an example, one of the members
2 of my staff who is fluent in sign language joined one of
3 the network's working groups. It is called the Adaptive
4 Treatment Standards Working Group for children with
5 disabilities.

6 The purpose of the working group is to look at
7 how treatment can be adapted for children who have special
8 needs. So she joined this workgroup, and she was fluent in
9 sign language. She came to me probably within a month of
10 joining and said Elizabeth, can we start a clinic where we
11 provide trauma focused services for children and families
12 who are deaf and/or hard of hearing?

13 So you attempt to go through the fiscal part of
14 it and how you're going to do it, and the operations piece.

15 Of course I said yes. We have had a tremendous response.

16 There were people providing mental health services for
17 children and families who were deaf and hard of hearing,
18 but of course nobody was providing trauma focus. So that
19 is a perfect example of how based on a collaboration from
20 the network, we were able to improve services in Baltimore
21 City.

22 So impact on KKFC culture, one of the things
23 that we thought we were doing a pretty good job was around
24 incorporating families in treatment at the Family Center.
25 Of course we found out, as everybody I'm sure knows, both

1 SAMHSA and the network placed a really high priority on
2 engaging families.

3 This was something we thought we were doing a
4 pretty good job in. But we tended to view engagement
5 primarily in terms of engaging families in the treatment
6 process. Of course that's just the first step.

7 One of my staff again had the opportunity to
8 join a network working group, it's the Consumer Engagement
9 Working Group, and I think to this point the culmination of
10 this group's effort was a consumer engagement conference
11 that occurred in October, I think. That's where the
12 Federation of Families was critical in helping the network
13 develop that.

14 One of the things that two members of my staff
15 who attended that were most excited about is that there
16 were 50 percent consumers there, and 50 percent
17 professionals. Of course that's unusual, because a lot of
18 times you go to these conferences and people pay lip
19 service to having consumer involvement, but there is a room
20 full of professionals and then two family members sitting
21 to the side that they bring on.

22 This was a conference where over half of the
23 people there were consumers. I think one of the neatest
24 things that one person on my staff said, you didn't know
25 who was who. That's pretty powerful.

1 What we began to realize is that engaging
2 families in treatment is just one piece of it. It's really
3 more about engaging families as real partners in program
4 development and service delivery.

5 We made a decision a couple of months ago to
6 make this one of our strategic planning goals to ensure
7 that it stayed on the front burner and we didn't lose track
8 of it. A good example is that we recently decided to start
9 implementing biofeedback and some similar kinds of
10 techniques. Before developing the program, we decided to
11 engage a group of care givers to help us decide how we
12 should develop the program.

13 That really is a first for our agency. It is
14 just the first step. We have a long way to go, but I think
15 it was very important, and I'm certain had it not been for
16 our involvement in the network and hearing SAMHSA and
17 hearing the network talk about it and making it a priority,
18 I don't think we would have done so in our own
19 organization.

20 Staff improvement. Adoption and adaptation of
21 best practices. Of course, and this kind of gets us into
22 the conversation of evidence-based treatment. Evidence-
23 based treatment is not the only avenue to quality mental
24 health, but it clearly is an important one.

25 One of the things that we paid a lot of

1 attention to are evidence-based practices. Now, one of the
2 things I think it's important to know about the network is
3 that they have a learning model or an approach to training
4 that goes way beyond just this single shot training where
5 you go in and you train somebody on a new technique, and
6 you leave and you don't know if they learned the technique,
7 if they've implemented it, if it had any impact.

8 The way the network views training is that it
9 is an ongoing process. There really is a commitment to
10 knowing that not only have you learned what you have been
11 trained on, but it has been implemented and implemented
12 effectively.

13 One of the ways we have seen this in the
14 network is our work around learning trauma-focused
15 cognitive-behavioral therapy. Trauma-focused cognitive-
16 behavioral therapy is one of the best practices. There is
17 a tremendous amount of evidence that says that trauma-
18 focused cognitive-behavioral therapy is very effective with
19 children and families that have been traumatized.

20 So we started with a single training in
21 Allegheny, as a matter of fact, we went to Allegheny
22 Hospital in Pittsburgh, which is a Level II site where
23 trauma-focused CBT was developed.

24 Subsequent to that initial training in
25 Pennsylvania, the network established regional trainings

1 around the country. My staff attended the one that was in
2 New Jersey with a Level II site. After that second
3 training, there were these monthly consultation calls, and
4 then an advanced training.

5 So you get the idea that it's not, again, not a
6 one-time thing, but it's training and follow-up, and a real
7 commitment to knowing that clinicians have really learned
8 the model in a way that it can be implemented effectively.

9 The phase that we're in now is the National
10 Breakthrough Series Collaborative. The important thing
11 about the Breakthrough Series Collaborative is that it goes
12 beyond the individual clinician learning trauma-focused
13 CBT, but it is helping us understand how does trauma-
14 focused CBT get implemented at the organizational level.
15 So it's about identifying barriers and solutions to those
16 barriers that enable us to implement it at the
17 organizational level.

18 How does the community get involved? How do
19 judges and other people who refer children to your agency
20 kind of understand trauma-focused CBT? What are the fiscal
21 issues that impact? What are the therapist's attitudes
22 that impact the ability to implement this?

23 We like to think of ourselves as change agents
24 in the systems that we work with. One of the things we
25 were able to do with our SAMHSA dollars is that we

1 established a training budget. We have been in the network
2 now two years, we have conducted about 24, 25 trainings.
3 We have actually trained about 800 people, parents, police
4 officers, pediatric residents, school teachers, DSS
5 workers, a host of people on how to work more effectively
6 with traumatized children and families in their service
7 sectors.

8 So how does a school teacher do her job more
9 effectively if she is more trauma informed? How does a
10 pediatrician do his more effectively, and so forth and so
11 on. I didn't watch my time.

12 MS. GOLDMAN: Three or four minutes.

13 DR. THOMPSON: Three or four more minutes.
14 Okay. Let's see what I'm going to skip.

15 MS. SULLIVAN: That never works for anyone.
16 Just go ahead.

17 DR. THOMPSON: Thank you. It is because I
18 actually timed this.

19 MS. SULLIVAN: Just go ahead.

20 DR. THOMPSON: Thank you.

21 The community advisory board is something I'm
22 really proud of. Again, the community advisory board is
23 post SAMHSA, it has a team who received treatment, have
24 completed treatment, two teams in fact and a couple of care
25 givers on this board.

1 They, as well as the agency that I mentioned
2 earlier, they are on the board also. What they have told
3 us is that their involvement with our center, they are more
4 trauma informed, we have helped them develop training in
5 their own centers.

6 One of the messages we have been able to get
7 across is that when you work with traumatized children and
8 families, it is not enough just to have a general mental
9 health background about children, you need to have a very
10 focused and specific background as it relates to trauma.
11 You also need to be aware of best practices.

12 It is a focused approach, and trauma is right
13 there on the table. We used to think it would take a year
14 to develop a relationship, and it has gone on and on and
15 on. Now it's clear that it is much more focused and much
16 more direct. You need training to understand that.

17 The product development, the network really is
18 into developing products. A couple of people on my staff
19 have been involved in developing a product for child
20 welfare professionals that teaches them how to be more
21 effective in their job. This is something, there were
22 about 12 or 13 sites involved with this child welfare
23 product.

24 To give you an idea, 13 people involved in the
25 development of a product, if you think of that many

1 agencies and people being involved, and doing a lot of the
2 work in conference calls, too, you can kind of begin to get
3 a sense of how things develop.

4 The reason this accelerated is because the
5 network made its decision that this was a product that they
6 wanted to push along and get out very quickly. The Public
7 Policy Roundtable provided us an opportunity in Baltimore,
8 what the Public Policy Roundtable was is they were
9 representatives of 15 states, the network project director,
10 as well as the person responsible for Department of Social
11 Services, and the person responsible for children's mental
12 health in the state.

13 Because the roundtable was actually held in
14 Baltimore, we had an opportunity to bring together the
15 Director of the Department of Social Services, who actually
16 has since resigned unrelated to this Public Policy
17 Roundtable, but we had an opportunity to bring him together
18 for a first meeting with the person who is the Director of
19 Children's Mental Health in Baltimore.

20 That's an opportunity that we would not have
21 had. I don't think we would have gotten presented were it
22 not for the Public Policy Roundtable.

23 A public awareness campaign, improving access
24 to services. There are at least a couple of ways to think
25 about improving access to services. One is you can look at

1 the concrete things like transportation, cost, location,
2 and some of those kinds of things. Another way to think
3 about it is in terms of people's attitudes about service
4 and mental health, and whether or not they seek them out
5 based on their attitude and stigma.

6 So our community advisory board decided to
7 attack public awareness from this perspective. I'm sorry,
8 to attack access from the perspective of public awareness.

9 What were people's ideas about trauma and how did those
10 ideas either lead them to or away from seeking treatment.

11 So we actually hired a professional facilitator
12 and conducted full focus groups in four Baltimore City
13 schools with care givers and a Head Start program. We
14 found out, not surprisingly, that these were the four
15 neighborhoods that a tremendous amount of community
16 violence where kids don't even go outside during recess
17 time because of gunfire in the neighborhood.

18 So it is hard for me to imagine going to school
19 and not being able to go outside for recess because of
20 gunfire going on. So these were the neighborhoods that we
21 conducted the focus groups. Not surprisingly, when people
22 asked what is trauma, they thought death was trauma. The
23 teams, as well as the adults thought this.

24 The teams were pretty clear that we needed to
25 develop a teen campaign and an adult campaign. They felt

1 like a message targeted towards adults would not be the
2 same message that you needed to use towards teens. But the
3 teens and the adults felt like death was what trauma was.

4 They also believed that this was a normal part
5 of growing up. A significant amount of the care givers
6 believed that living in these kind of neighborhoods sort of
7 prepared children in a positive way for life later on. So
8 there was a real normalization of the trauma that they had
9 experienced.

10 I'd like to end with just a brief word about
11 some of the work that several of my staff did. We were
12 asked to go to Anne Arundel County to work with a group of
13 teenagers that had been relocated because they had
14 experienced Hurricane Katrina. So we were asked to come
15 and do a one-time group to work with children and teens
16 that had been traumatized.

17 So the teens were given the choice of either
18 choosing an art project or a verbal project, something
19 written. They chose the written project. What the
20 assignment was, they were given a choice of eight words,
21 and they were told they had to choose five of the words,
22 and then they had to create a poem. A poem with five
23 lines, five stanzas. The entire poem had to have five
24 stanzas, and each stanza had to have five lines. They had
25 to use each word on a rotating basis within each stanza.

1 It included a word or a line that the entire
2 group had to agree on. So the words they chose, because of
3 the work that's being done in the network, healing and
4 recovery occurring in a better way now than it was. But
5 nevertheless, trauma changes you forever.

6 The second lesson is that we can never lose
7 sight as mental health professionals, and I'm an
8 administrator now, but I started out as a mental health
9 professional, and as a mental health professional we can
10 never lose sight of the hope and resiliency that families
11 glean when they come to us for treatment.

12 "Strength is a powerful thing. Miss our
13 friends, hope to return, life, glad to have it. Change is
14 hard. Miss our home room, hope to find missing people,
15 life for the future, change for the better, strength to
16 carry on. Hope for life, life is different, changing
17 friends, schools, culture, strength from my momma, miss the
18 flood, life should be withheld with love, change is
19 versatile with good or bad.

20 "Strength from people that believe what you do.
21 Miss Mardi gras, hope that people's pride will stay alive.
22 Change is a blessing in disguise, strength from living in
23 New Orleans. Miss school, hope from God, life should be
24 cherished. Love with open arms, love is an obstacle and
25 confusion."

1 The group decided at the end, my thought as
2 being teenagers, that after agreeing on the five words,
3 there were a couple that wanted to add the last stanza. So
4 they decided to end with "Again, love with open arms, love
5 is an obstacle."

6 Thank you.

7 (Applause.)

8 MS. GOLDMAN: Thank you, Elizabeth. I think
9 your presentation really gave a wonderful picture of not
10 only what you do with teens, but how you are touching
11 people's lives, how you really have connected to this whole
12 network, and how it really does support our work. That's
13 what we're hoping will happen.

14 Bob, do you want to take it?

15 MR. HARTMAN: Thank you. Wonderful, Elizabeth.

16 DePelchin Children's Center is one through 12-
17 year-old agency in Houston. Houston is the fourth largest
18 city in America, so we have also grown to be a large
19 organization with an array of services. We have services
20 that -- Kennedy Krieger actually span from early
21 intervention services to mental health counseling because
22 of the merger early on with the Child Guidance Center to
23 services for homeless moms because we're affiliated with
24 the Florence Crittenton Center in Houston to home-based and
25 community-based therapy services to therapeutic foster

1 care, residential, intensive residential treatment
2 services, and additionally an option for children who are
3 in foster care to post-adoption support services for those
4 families.

5 I liked Dr. Thompson's statement about prior to
6 this grant and after this grant. For the last 20 years, we
7 have been very active in writing grants for state and
8 federal initiatives. We are 80 percent successful in
9 landing grants. We have a large research and grant
10 management department that tracks our grant activity.

11 This grant was so different. This became a
12 catalyst for transformative change for us.

13 Just on a slide here that talks about our
14 goals, we actually mirror SAMHSA's goals and what they're
15 wanting to achieve for the trauma initiative. Increasing
16 accessibility. Developing for us a community network of
17 professionals to do that access to care.

18 We wanted to become a learning organization.
19 We knew that though we serve about 27,000 children and
20 families each year, about 5,000 of these kids have
21 experienced multiple and complex traumatic incidents. We
22 see that this cuts across all of our services as well.

23 We wanted to of course improve outcomes for
24 kids and translate research that we are seeing in the
25 network into our own practice.

1 I will talk briefly about some of the
2 accomplishments we've been able to achieve over the last
3 two years with this grant. It has only been two years,
4 which is amazing. We hit the ground running when we were
5 awarded this opportunity to serve children in this
6 different way.

7 Rather than reading through all of these
8 various accomplishments, let me highlight a few. Media
9 placements and media response. I'll be talking more about
10 our agency's response to the Katrina and Rita hurricanes in
11 a minute. But one of the media placements, if we can pull
12 it up in a minute, is --

13 MS. GOLDMAN: This is a Word document that
14 they're switching to a DVD.

15 MR. HARTMAN: Then we'll wait for that media
16 placement. Hopefully that will come up for us. That's a
17 PSA that we developed for the Katrina evacuees.

18 One of the first things we did was we
19 established an internal core trauma team. We saw this
20 group as being the ambassadors internally for change, to
21 change our culture, to become a learning organization.

22 We had 15 people from different departments
23 within our organization come together monthly to plan, to
24 prepare, to learn, and to disseminate information to each
25 other throughout our organization.

1 We also established a community trauma network
2 so that we could be a part of training, and to disseminate
3 materials that SAMHSA and the National Child Trauma Stress
4 Network has been sending to us. Over 200 organizations
5 have become involved in this. We have been able to provide
6 78 training sessions for over 2,500 people just this last
7 year.

8 This is all new to us. We hadn't been doing
9 this kind of thing in the past. We have actually put
10 network counselors on our website so that there is
11 increased access for people if we are busy, if the location
12 doesn't fit them, then those people around the community
13 are available as well.

14 In terms of our NCTSI collaboration, we were
15 nervous about this initially because everyone had said jump
16 in and be a part of the national network. We had so many
17 different services that seemed to relate to all the task
18 forces. We cautiously put our foot into about five, and
19 then in the last eight months or so, have expanded to
20 foster care workgroup, Data Corps, Public Policy Corps,
21 school intervention, system integration, residential
22 workgroup, training, and Data Corps.

23 It really has been impressive, the information
24 that we have been able to share, the information we have
25 been able to glean from these opportunities to connect with

1 our network.

2 We've developed papers around financing for a
3 child welfare service, monitoring psychotropic drugs in the
4 child welfare system, workforce issues in implementing
5 trauma care, as well as how to establish a community
6 collaboration around trauma services.

7 The training has been very supportive, as Dr.
8 Thompson mentioned earlier. We have been involved in a
9 trauma-focused cognitive-behavioral therapy parent/child
10 interactive therapy. That, by the way, for us was
11 interrupted because of the Katrina hurricane. We couldn't
12 participate in the second round of that training, but we
13 are planning to do that later.

14 The sanctuary model for residential care as
15 well. I'll share that experience with you, that the
16 intensive residential treatment center entered a
17 partnership as a result of this trauma network with the
18 Department of Family and Protective Services to determine
19 if we could better serve kids in our residential care who
20 are specialized in intense needs, and then can those kids
21 move on to therapeutic foster care environments and be
22 sustained there.

23 We changed the culture as a result of this
24 sanctuary model implemented in our center. Let me just
25 share with you some of the statistics regarding that.

1 Twenty-four percent of the kids that have come to us have
2 higher level needs. So we see that the department is seeing
3 us as a resource for some of the hardest kids, kids who
4 have been to other residential care facilities prior to
5 coming to DePelchin.

6 Sixty percent of those kids discharged from the
7 residential care have moved to a less restrictive
8 environment. We have been able to see 87 percent of those
9 kids stay in their therapeutic foster home or move onto
10 their birth families, or to an adoptive placement.

11 We have lowered the length of stay 50 percent
12 compared to the state average. We are seeing now an 89
13 percent reduction in restraints and seclusions. We have a
14 residential center that houses about 40 kids. We stay full
15 with about 38 as an average.

16 Prior to starting this initiative, we were
17 restraining kids and secluding kids for disruptive behavior
18 about 51 times per month. Now we are about five per month,
19 way below the national average of around 20. I think you
20 have to look at 1,000 days, the number of kids in care over
21 that length of time. It is just truly remarkable.

22 Texas calls a hand holding onto an elbow
23 escorting out of a room, calling that a restraint. We have
24 actually been able to shift much of the restraint activity
25 to an escort to change direction for a child. We credit

1 this to our work within the trauma network.

2 There is a portion here that says translated
3 research into practice. One of the successes is advocating
4 for a major change in the child welfare system in the State
5 of Texas. Senate Bill 6 was passed this last year. We
6 actually hired a Director of Public Policy Government
7 Relations, and as a team, we testified in Austin for
8 compassionate care for children, for systems to be more
9 integrated for their care, for case management to be more
10 continuous, and for the trauma that every child in foster
11 care has experienced to be dealt with adequately, and the
12 legislature certainly accepted that.

13 Let me move then to a more dedicated effort
14 when Katrina at the end of August, early September, began
15 to impact Houston. For us, we are so fortunate that we had
16 been a part of this network for almost two years at that
17 point. We felt ready to be a part of the solution around
18 this major issue.

19 Just to let you know, we receive calls often
20 from our SAMHSA staff liaison from the National Child
21 Trauma Stress Network and they say how are you doing? Are
22 you holding up? How is it going? I can share that it has
23 been chaotic, overwhelming, confusing, exhilarating,
24 challenging, and heartwarming and heart wrenching.

25 We made a priority decision to put aside many

1 of our priority activities to focus on Katrina evacuees.
2 That has been a very important step for us in responding to
3 the care needs. However, you can't put aside 400 kids in
4 foster care, now almost 50 kids in the residential center.

5 We have another residential center as well. Those needs
6 and those services go on.

7 Let me just share with you in terms of direct
8 service response, we are immediately responsive to the kids
9 who were at the Astrodome, there were about 25,000
10 families, people at the Astrodome within about an 8-day
11 period. People streamed in on buses as you saw on the
12 national news. The George R. Brown Convention Center had
13 5,000 people.

14 We have a relationship with Baylor School of
15 Medicine, School of Psychiatry, and also the psychology
16 department where psychologists are interns at DePelchin.
17 These doctoral candidates and post-doctoral candidates, we
18 deployed into the large centers for counseling round the
19 clock shifts, as well as our psychiatrists. We have six
20 different psychiatrists that work with our populations.

21 We have received 20 referrals for foster care
22 placements. We have worked with the Covenant House from
23 New Orleans who have come to Houston working with 74 of
24 those kids and about 25 staff members from Covenant House
25 for counseling and support. We have assisted the schools

1 with the two schools, new schools, that Houston independent
2 school district set up for these kids who have been
3 evacuated, as well as 50 other schools around the Houston
4 area with counseling, consultation, and training.

5 Let me tell you a real touching story that
6 occurred with a homeless teen who came from the Covenant
7 House connection in New Orleans. She was about ready to
8 deliver right before Katrina. She got on the bus, came to
9 Covenant House. We had actually a set of apartments on our
10 campus for homeless moms. We have a transitional living
11 program to help young families.

12 We were able to bring her into our program.
13 When she then gave birth, we helped. We were the breathing
14 coach in the hospital. One of our managers was at a
15 national Florence Crittenton Center conference connected
16 with a Crittenton Center in Montana that wanted to be a
17 part of this solution. They offered to start a new life
18 for this young woman, Adrian, and her daughter, Dominique.

19 We really thought that she would call her daughter Katrina
20 Rita, but she didn't do that.

21 This Crittenton Center has taken this young
22 family in, and is now caring for her needs. That's really
23 exciting to us.

24 Well, there are many more incidents of direct
25 service. The State of Texas allowed our home and

1 community-based therapy program to incorporate the funding,
2 access, and the intake process for that service, and we
3 have been able to serve 120 families just through that same
4 process that we have been serving.

5 Just recently we have been able to work with
6 the Houston independent school district to sign a major
7 contract that will allow us to provide individual and group
8 therapy sessions with kids, group experiences for families,
9 and this is where the engagement of families is going to be
10 very critical. We'll set up family council around deciding
11 what kind of support people need, and consultation and
12 training within schools as well.

13 We were able to coordinate and communicate with
14 the national network, responding to their calls. We
15 distributed the psychological first aid packet that was
16 just out at that time, as well as a trauma screening tool.

17 Fortuitously when Katrina hit, we had been planning a
18 regional training conference on child traumatic stress.

19 The state director, or assistant director of
20 behavioral health, Dr. David Wanser, I believe called
21 Charles Curie, who then connected with staff members and
22 put everybody in touch with the NCTSI site at Houston to
23 help train, as Ms. Goldman mentioned, the center staff that
24 were dealing with sexual abuse issues in their centers,
25 that were seeing child abuse and neglect and didn't quite

1 know how to deal with these issues.

2 So we were able to add workshops into our
3 conference so that those people can participate. That was
4 just marvelous.

5 In terms of public awareness and response, we
6 received calls from "20/20," "Nightline," "Paula Zahn Now,"
7 the AP, all the local TV and radio stations, Houston
8 Chronicle, New Orleans Times. It truly was an overwhelming
9 time for us. We have a marketing department that worked
10 with the national center here that was able to triage these
11 and provide points of discussion that helped us deal with
12 this.

13 I don't know if it's possible to see the PSA
14 that we developed, that we could show.

15 (PSA shown.)

16 MR. HARTMAN: We have an access department with
17 six telephone operators. Our calls have gone to 1,600
18 calls per month since Hurricane Katrina as a result of
19 these ads and other kinds of activities we've done. We are
20 now receiving about 96,000 calls per year.

21 During our response to Katrina, Rita was
22 offshore, and Rita was heading towards Houston. We had
23 then to prepare for our own evacuation. We evacuated 200
24 kids from foster homes with the foster families. We were
25 tracking where they went to make sure that they were safe,

1 and provide consistent care, as well as our residential
2 treatment centers, we wanted stability there.

3 But our training and trauma care had us
4 thinking we're going to now require foster parents to sign
5 an emergency plan as part of their preparation for foster
6 parenting in case something like this happens so that we
7 all know what process to go through.

8 In terms for us for the future, trauma care,
9 especially after a hurricane, there is an immediate
10 response for psychological first aid, and then there is a
11 longer, slower kind of trauma build up that kids begin to
12 experience and show later on.

13 Now that families have calmed down enough, we
14 are seeing a lot more disturbances in schools, whereas
15 initially everybody was in shock. They weren't displaying
16 the trauma that they experienced. We know that the access
17 points for us will be the schools, public health
18 departments, pediatrician's offices, and daycare centers.
19 That would be kind of a front line noticing what kids are
20 needing.

21 Our board has recently gone through a strategic
22 planning process and realized that this grant has
23 transformed the way we provide service. It is a cohesive
24 type of initiative that if you read Jim Collins' book,
25 "Good to Great," it's a hedgehog concept for us.

1 Therapeutic care for kids, especially in the child welfare
2 system.

3 I think I will end there. You have the
4 material in front of you. But I just want to express my
5 appreciation for the work that SAMHSA has done through this
6 and the National Trauma Center.

7 I think we're open for questions.

8 (Applause.)

9 MS. GOLDMAN: Yes, we're open to questions to
10 both of our speakers here. As I mentioned before, we have
11 staff here from the branch, Dr. Gordon and Dr. Casale, who
12 can answer your questions as well, or myself. So it's
13 open.

14 Toian reminded me that you all have to be out
15 of here by noon sharp. There also needs to be an
16 opportunity for public comment, so we will have to watch
17 the time for those events.

18 Barbara?

19 MS. HUFF: First of all, thank you very much
20 for your presentations.

21 I wanted to just make a comment to you, Dr.
22 Thompson. I wanted to just say in sympathy (inaudible)
23 family guidance in the child welfare system, and it's
24 really good. It might be a nice companion to what you've
25 developed. It was developed over a year time and it will

1 be on Georgetown's website because they were instrumental
2 in doing a lot of the work on it. Families also were part
3 of the development of that, which I know you all
4 appreciate. So that's just an FYI.

5 Then Bob, I wanted to ask you, in the reduction
6 of seclusion and restraints, you gave a lot of credit to
7 the trauma center. Is that in training? Or tell me about
8 that. I want to know what it would take them to get the
9 other 5 percent of seclusion restraint gone, if you think
10 that's possible.

11 I think we have a lot to learn from people who
12 are actually trying to reduce seclusion restraint, because
13 this has not had a successful entry. So could you speak
14 to, you said you gave credit there, but you didn't say how
15 that happened.

16 MR. HARTMAN: It was a very focused effort by
17 our administration to review every single restraint, to
18 track it by time of day, by child, by staff member, by
19 length of time of restraint, the type of restraint.

20 We had a weekly meeting established around
21 this. Lots of training. A different way to talk to kids.
22 A residential center tends to want to control kids so that
23 they don't get out of hand too quickly, because it can be
24 kind of an explosive environment with kids with very
25 specialized and intense mental health needs.

1 So we began talking to kids differently, and
2 preventing and anticipating the need for restraint to help
3 staff recognize their own emotions prior to reacting to an
4 issue, and involving teams of staff so that if somebody is
5 hooked, then you turn to the other staff member and say
6 will you please work with us?

7 We debrief and we plan with each child and with
8 each staff whenever there is a restraint. We told staff
9 early, we will gladly give up a chest of drawers, but we
10 don't want to retraumatize a child in restraint. So we've
11 had more property damage, and staff has to allow that to
12 occur.

13 No, we wouldn't allow that in our own family,
14 but these kids are not normally in families in this kind of
15 way. So it has been a process now for about a year and a
16 half. I don't believe it is possible to fully reduce all
17 restraints, because you want to protect kids. Some of
18 these are in response to a child harming himself or others.

19 So typically now we see it focus on a few kids
20 who have the most restraints, and we begin looking at their
21 case plan, that will allow us to be a step down for them,
22 and for them to be a respite for some of our kids as well.

23 MS. HUFF: I congratulate you.

24 MR. HARTMAN: In a nutshell, that is kind of
25 how we address that.

1 MS. HUFF: (Inaudible.)

2 MR. HARTMAN: Well, and we just realized these
3 are not bad kids. They have had bad things happen to them,
4 and they are reacting in some behavioral way to something
5 that happened years ago. We realize that this trauma
6 squirts out in unusual ways at different times in a kid's
7 life. That's what we're seeing as staff. So they've
8 learned more.

9 So the therapy, we have therapists there.
10 Therapy has really shifted from the therapist to the youth
11 care workers who develop the relationships with the
12 children, we recognize that.

13 MS. HUFF: Thank you.

14 MS. GOLDMAN: I think that centers and
15 providers that have made this kind of conversion are the
16 best way to train others about how to do this. Seclusion
17 and restraint is one of the major kinds of traumas that
18 kids would be experiencing, so maybe there is a way to do
19 some of this kind of training through the national network.

20 PARTICIPANT: Can I ask a question or save it
21 for public comment?

22 MS. VAUGHN: No, I'm sorry. This is just for
23 the council.

24 DR. GARY: I want to commend both of you. I
25 found the presentations to be enlightening, but also

1 informative. I wanted to also comment about the
2 therapeutic approach that you are using, and the sense of
3 commitment that I sense from both of you, the sincerity of
4 the work that you do.

5 I just wanted to follow up and make a comment
6 about the networks, because I think if you look at the
7 networks, you could coin the networks and call them
8 invisible universities, if you will, because the networks
9 indeed embrace a certain perspective and provide the
10 evidence, but also provide the strategies and tools, the
11 behaviors that must change in a staff in order for it to
12 work.

13 In a sense, you have created your own invisible
14 universities. The next question would be then how is it
15 that you can continue to impact other facilities that may
16 not be in the network that are located all over the United
17 States, and invite them to become a part of the network so
18 that you can educate them with the knowledge that this
19 invisible university now has.

20 That's the first observation. The second
21 observation is I find the area of seclusion and restraint a
22 very dynamic one, because it is a judgment call. It is a
23 judgment call that's made by staff. It is based on staff's
24 previous life experiences also. You did not address that
25 point.

1 So I wanted to ask if you would address how you
2 assist the staff in handling their own trauma, and their
3 own anxieties, their own fears and frustrations about
4 aggression. Aggression is conceptualized quite differently
5 when you look at ethnic minority males. You look at
6 Hispanic males and black males and aggression, you're into
7 a very different realm. How do you address those issues
8 with your staff?

9 MR. HARTMAN: Wonderful reflections. A couple
10 of things regarding training. We have been, and I believe
11 Dr. Thompson as well, have been active in our own national
12 networks of service. Child Welfare League of America, the
13 National Alliance for Children and Families, and on the
14 state level as well, the Texas Alliance, which provided
15 multiple training sessions around these kinds of issues
16 that we've talked about today. Those will just increase.

17 We met last week with the Department of Family
18 and Protective Service senior staff, as they are looking at
19 the privatization initiative around child welfare, and
20 offered the opportunity to train around seclusion and
21 restraint and trauma-related care in integrating systems of
22 care that make sense for kids so they're not retraumatized.

23 Regarding staff, that's a very good point. We
24 have changed the way we're interviewing staff at the
25 beginning of the hiring process, and talking very much

1 specifically about those experiences and how they respond
2 to people getting angry and people using language that
3 might hook a certain reaction.

4 Then it is a subject of staff meetings, of the
5 debriefing sessions after each restraint so that we help
6 and nurture staff so that they have begun to change. We
7 have a core staff of probably 15 people that have been
8 there an average of between 10 and 12 years. So they have
9 made a dramatic improvement. These aren't new people that
10 we're hiring into it.

11 So it is working with those ways they used to
12 work, and changing that culture.

13 DR. THOMPSON: And I'd just like to add a
14 couple of comments to that. Your remarks sort of triggered
15 two things in me.

16 The first is the comment about aggression and
17 staff history and how that impacts their ability to deal
18 with it. Two things that we do at our agency. We have a
19 secondary trauma support group, because one of the things
20 we are very aware of is that when you work with kids that
21 have been traumatized -- your own history then because of
22 the impact of work, you have to be careful, thoughtful, and
23 promote self-care, because they are also impacted by the
24 trauma.

25 So we have an ongoing support group with

1 therapists who talk about that that actually doesn't have
2 any administrators or supervisors in it, because one of the
3 staff, you know, felt like they would be freer to kind of
4 talk about those kinds of issues when there wasn't an
5 administration person there.

6 The second thing was the issue of cultural
7 awareness. I think when you talk about African American
8 males and Hispanic males, you are absolutely correct that
9 you start treading different territories. One of the
10 things we're clear about is you have to be aware of this
11 from a cultural competence perspective. So we talk to
12 staff about that.

13 We do a lot of adolescent male groups, and I
14 have had staff who have been fearful because the males are
15 taller than they are, and are bigger, and interact with
16 each other in a way that 85 percent of our staff is African
17 American, and only about 20 percent of my staff is African
18 American.

19 So for us, it's something that we address on an
20 ongoing basis.

21 MS. GOLDMAN: I'd just add one, Dr. Michael
22 Wong, who is with the National Center when we were doing
23 the visits to the schools across the Gulf, she talked a lot
24 about compassion fatigue and how much work you have to do
25 with all of the care givers and providers, whether they are

1 teachers or whether they're the workers in your centers,
2 and how much support they need.

3 She also made the analogy of the airplane when
4 they say put your life mask on first before you help your
5 child, that the same thing is true in terms of any of these
6 centers where we are providing services, that a tremendous
7 amount of support has to go to the staff, because this is
8 very hard work, and they need that kind of help.

9 MS. DIETER: I don't really have a question. I
10 just wanted to thank you both for what you're doing. This
11 morning for me, your reports were particularly impressive,
12 because they embodied a sort of evolution of your centers.

13 I don't think that's always the case. People
14 you hear from talking about a project they're doing, your
15 sincerity is wonderful, and also sort of a very thoughtful
16 openness to change and development of improving things all
17 the time. It was very impressive. Thank you.

18 MS. GOLDMAN: Any other questions?

19 (No response.)

20 MS. GOLDMAN: Well, I just want to personally
21 thank both of you, Bob and Elizabeth, for excellent
22 presentations, and also for the staff that I have the honor
23 of working with at SAMHSA who head up this program. I
24 think it has made some extraordinary advances, as I said
25 before, in terms of what we've learned about trauma and

1 treatment.

2 Also for your request to hear about programs,
3 because it is so easy as we give out grants and project
4 officers for all of these different efforts that are going
5 on in communities, not to focus on what it is that these
6 grants are supporting on a day to day basis that helps
7 children and families and people struggling with addiction
8 and other mental illness. That's really what SAMHSA is all
9 about. So thank you.

10 MS. KADE: Thank you very much.

11 (Applause.)

12 MS. HUFF: I'd like to say thank you for having
13 such a focus on kids this morning, and also for hearing us
14 when we said we wanted to hear from more people in the
15 communities where real services were taking place. Thank
16 you for hearing that.

17 MS. KADE: Good. Thank you.

18 Before we go into public comment and some
19 closing remarks, I wanted to introduce Mr. Ron Seger, the
20 founder of Race Against Drugs.

21 MR. SEGER: Thank you. I know that you all are
22 going to break very shortly. I wanted to introduce you to
23 a program when you talk about kids. Our kids focus on
24 Motorcraft sports. Our focus is we all look for something
25 that is going to attract the attention of young people and

1 get them to listen to our message. It's the color, speed,
2 and excitement of that sport.

3 We have a program called Race Against Drugs.
4 Four hundred and fifty thousand of these coloring books,
5 they are activity books, were just distributed to Texas,
6 Louisiana, and Mississippi by SAMHSA.

7 When you break in front of the vestibule that
8 you came in, there are some posters. Please take as many
9 as you wish. They're all exciting. We use subliminal
10 messages. We want children to take them home and put them
11 on the walls. They'll get the message.

12 When they are watching NASCAR every Sunday, a
13 lot of the drivers that drive for NASCAR are some of our
14 spokespeople, and we found a long time ago that as adults,
15 as law enforcement, when we make a presentation, the kids
16 are fidgety. They're doing other things.

17 When I get a well-known race car driver
18 standing up there, and they recognize him because they just
19 saw them on TV, they listen.

20 When you walk out of the building, there is a
21 Motorcraft car sitting out front. It is one of the cars
22 that we use. We have 24 different sanctioning
23 organizations that work with us.

24 I only had a short period of time. Thank you
25 very much.

1 MS. SULLIVAN: Wait, wait. A couple of
2 drivers, a couple of the motor organizations, and you said
3 "we as law enforcement." Obviously, you are with law
4 enforcement.

5 MR. SEGER: No, ma'am, I'm not.

6 MS. SULLIVAN: Okay. A couple of the drivers
7 and NASCAR and who else?

8 MR. SEGER: We work with 24 different
9 sanctioning organizations. Most of their drivers that work
10 with us (inaudible).

11 MS. SULLIVAN: Indy cars?

12 MR. SEGER: Indy cars, NHRA, Grand Prix,
13 everybody that is involved with motor sports.

14 MS. SULLIVAN: And what drivers?

15 MR. SEGER: People like Richard Petty, Daryl
16 Walker, Bobby Hill, Jr., (inaudible). Those are just
17 NASCAR drivers. The entire Andretti family.

18 MS. VAUGHN: Would you tell where your car is
19 located?

20 MR. SEGER: The car is located right in the
21 front of the building as you walk in.

22 MS. SULLIVAN: And Ms. Patrick, is she?

23 MR. SEGER: Oh, Dana Patrick?

24 MS. SULLIVAN: Yes.

25 MR. SEGER: We've been talking to her.

1 MS. SULLIVAN: We need a girl, we need a girl.

2 MR. SEGER: Thank you very much.

3 MS. KADE: Thank you very much. You all try
4 and take a look at that car. If you want additional
5 information, Toian can send it out to you.

6 We're going to open it for public comment. Dan
7 Fisher? Dan Fisher, and then anyone else who would like to
8 join in.

9 DR. FISHER: I'll be very brief. I'm the
10 Executive Director of the National Empowerment Center. I
11 just wanted to report on some work that we did down in
12 Louisiana so that SAMHSA knows. This was supported by
13 SAMHSA.

14 We did two trips to Louisiana. In the first
15 one, they identified they wanted peer support training
16 among the consumers in Louisiana, so that they could then
17 provide peer support to other consumers in the affected
18 areas.

19 October 18th to 20th, we convened three
20 national leaders to go down and do training for 45 consumer
21 leaders in Louisiana in New Orleans, Lafayette, and Baton
22 Rouge. We worked with the commissioner there. As a
23 follow-up, we developed part of their FEMA grant to have
24 peer support be part of the grant.

25 I just wanted to say sort of for the council

1 and maybe for SAMHSA in the future that the emergency
2 response and recovery center was helpful, but it's hard
3 sometimes for them to recognize the importance of peers and
4 peer support and peer counseling, although it's very highly
5 developed in many areas of the country.

6 Sometimes, professionals are called on before
7 peers are thought about, but in order to really reach out
8 to many people in different parts of the country and
9 develop a crisis plan, such as happened after 9/11 --
10 Project Liberty used peers a lot in New York City, and
11 after the tornadoes and after the bombing in Oklahoma, peer
12 counselors were used.

13 We see this as the way to develop more in the
14 way of consumer-run organizations and peer support in
15 affected areas, and also proactively now other states are
16 using this information to set up the crisis planning that
17 engages and involves consumers as peer counselors in the
18 future. So you don't have to go down, rush down and
19 provide the training at the time, but really do it ahead of
20 time and have crisis services and crisis planning be part
21 of that, because there are parts of the country where
22 consumers are providing trauma-informed peer support on the
23 theme that was done here with children. That's being done
24 with adults now also by peers.

25 There is a write-up of this that people can

1 pick up in the back. It is on our website, howardu.org, if
2 you want to see more information about resources about
3 Katrina.

4 Lastly, there is a webcast that's being done
5 using some of the people from Louisiana who have have done
6 the training, and myself. It's December 15th and is
7 sponsored by SAMHSA.

8 MS. KADE: Thank you very much.

9 Any other public comments?

10 MS. ROGERS: Hi. I'm Susan Rogers from the
11 Mental Health Association of Southeastern Pennsylvania. I
12 spoke a little about this yesterday.

13 I wanted to thank Barbara for her question
14 about seclusion and restraint and what people are doing to
15 get toward a zero policy. I wanted to again mention the
16 special section in Psychiatric Services, September of '05.
17 There was an article about Pennsylvania's initiative.

18 Pennsylvania is working toward moving to a zero
19 seclusion and restraint policy. I would really like to
20 respectfully just add that in Pennsylvania, we are trying
21 to get to zero with that.

22 This initiative began after Mr. Curie was our
23 head of our mental health system there. He was the one who
24 initiated it. If anybody wants more information about how
25 we are doing it in Pennsylvania, please get in touch with

1 me at the Mental Health Association of Southeastern
2 Pennsylvania, srogers@mhasp.org. I'd be glad to email you
3 information, or you can contact the state mental health
4 authority. I'm sure they can provide that, too. Thank
5 you.

6 MS. KADE: Thank you very much.

7 MS. THIEL: I'm Thelma King Thiel with the
8 Hepatitis Foundation International.

9 I wanted to thank Dr. Clark and Beverly Watts
10 Davis especially for inviting us to train a lot of their
11 grantees. We are scheduled to train 250 more in January
12 and we've already trained hundreds of them.

13 I also wanted to thank Ms. Jeb Bush for
14 inviting me down to Florida to meet with the Drug Policy
15 Advisory Council a few months ago. As a result of that, we
16 have trained some of the folks, the counselors at the
17 Juvenile Justice Department. We have done training
18 programs along with a couple of the health departments, the
19 Collard County Health Department, and I just was down there
20 recently to the Hillsboro County Health Department, and
21 they are going to invite us down again.

22 The response that we're getting on some of the
23 evaluations that we had, we are promoting liver wellness as
24 an effective approach to get people to change behaviors.
25 We have done extensive evaluations. We're just delighted

1 at the response that we're getting.

2 I do want to thank everybody for collaborating
3 with us. As a matter of fact, SAMHSA just gave a grant to
4 the Latin American Youth Center in Washington, D.C. They
5 had included us in their proposal and we're going to be
6 training their staff.

7 Again, the bottom line on all of our drug use
8 problems is changing people's behaviors. We've got a real
9 good start on that. Thank you so much.

10 MS. KADE: Thank you very much.

11 If there isn't anymore public comment, I'd like
12 to -- yes?

13 MS. STUART: Carolyn Stuart with CONTAC out of
14 West Virginia at the National Technical Assistance Center.

15 I'd just like to say thank you for letting us
16 be a part of this meeting, and just to comment in regard to
17 the man with the Race Against Drugs. One of the things
18 that (inaudible) because as I listened to his presentation,
19 I realized that a large segment of the population will be
20 left out, because African Americans generally don't pay
21 attention to NASCAR.

22 MS. SULLIVAN: Not true. No, that's not
23 according to the demographics now.

24 MS. STUART: Just keep it inclusive and ensure
25 that it reaches a large segment.

1 MS. KADE: Thank you very much.

2 In closing, I want to summarize some of the
3 highlights of some of the follow-ups that we promised you.

4 In response to the request, Mr. Curie agreed to
5 provide printed copies of his remarks to the council
6 members and that was done.

7 In request to a response from Mr. Stark, Ms.
8 Power promised to provide copies of "Transforming Mental
9 Health Care in America: The Federal Action Plan Agenda:
10 First Steps," and also the IOM report to the council
11 members. I believe that was done.

12 Mr. Curie asked for information on Medicaid
13 Part D. We provided the presentation today. I am going to
14 be following up. I'll try to set up a conference call with
15 CMS before our June meeting, and we'll summarize the
16 highlights and the issues from the discussion this morning.

17 In response to Ms. Sullivan's request, I
18 offered to provide the SAMHSA scores on the OMB-mandated
19 green standards for success. So what you have is an
20 explanation of those standards and the overall scoring.
21 That's publicly available information.

22 I think that's about it. There were other
23 issues that you'll see in the minutes, but those were the
24 immediate follow-ups.

25 Any others? Toian, do you want to add anything

1 else?

2 MS. VAUGHN: I want to thank everyone for
3 coordination and the effort that they made. This is a full
4 agenda with everything that took place.

5 We will be leaving here shortly. I hope that
6 you'll have an opportunity to look at the Motorcraft as you
7 exit the build and as you get on the van to go downtown,
8 because I think this afternoon we are going to be visiting
9 with the Secretary as he does his PSA announcement
10 regarding the Katrina campaign.

11 I also have been informed that you wanted to
12 visit the Secretary's command center, and we've made
13 arrangements for those who are not leaving to do that as
14 well.

15 MS. KADE: Then I call for an adjournment.

16 MS. SULLIVAN: Second.

17 MS. KADE: Thank you. See you in June, and
18 talk to you earlier.

19 (Whereupon, at 12:06 p.m., the meeting was
20 adjourned.)

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24